Form ADV
Part 2B Brochure Supplement

November 2026

Individuals covered by this supplement include:

Alan S. Alderfer
Jason T. Gergely
Michael S. Bergen
Parker L. Stewart
William F. Day

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Warsaw, IN 46580
574-267-6766

Corporate Office:
24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
949-718-1600

This brochure supplement provides information about the above-listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above-listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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ALAN S. ALDERFER, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Alan S. Alderfer, CFP®, Partner, Managing Director, b. 1967

Education:
BA, Economics, Ball State University

Business Background:
Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present
Agent, Beacon Pointe Insurance Services, LLC, 2025-Present
Registered Representative, LPL Financial, LLC, 1999-2025

Professional Designations

Alan Alderfer holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Alan Alderfer has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Alan Alderfer is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Alan Alderfer may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Alan Alderfer may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Alan Alderfer to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Alan Alderfer or BPIS if they follow Mr. Alderfer's recommendations.

In addition to Alan Alderfer's role with Beacon Pointe Advisors, LLC, he is owner of Alderfer Bergen Real Estate. This activity accounts for a de minimis amount of Alan Alderfer's time and income. This activity is unrelated to Beacon Pointe Advisors, LLC's advisory business. Beacon Pointe Advisors, LLC, does not believe it presents a conflict of interest.

ITEM 5 - ADDITIONAL COMPENSATION

Alan Alderfer is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Alan Alderfer is an equity owner in the parent company of the firm. Mr. Alderfer does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Alan Alderfer is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Alan Alderfer oversees the investment advisory services provided by Beacon Pointe Advisors' Warsaw, Indiana office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

JASON T. GERGELY, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jason T. Gergely, CFP®, Partner, Managing Director, b. 1986

Education:
BA, Marketing, Butler University
BA, Broadcast Journalism, Butler University

Business Background:
Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present
Agent, Beacon Pointe Insurance Services, LLC, 2025-Present
Registered Representative, LPL Financial, LLC, 2014-2025

Professional Designations

Jason Gergely holds the following professional designation:

Certified Financial Planner (CFP®)

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To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Jason Gergely has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Jason Gergely is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Jason Gergely may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Jason Gergely may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Jason Gergely to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Jason Gergely or BPIS if they follow Mr. Gergely's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Jason Gergely is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Jason Gergely is an equity owner in the parent company of the firm. Mr. Gergely does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Jason Gergely is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Jason Gergely oversees the investment advisory services provided by Beacon Pointe Advisors' Warsaw, Indiana office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

MICHAEL S. BERGEN, CIMA®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael S. Bergen, CIMA®, Partner, Managing Director, b. 1969

Education:
BA, Economics, Indiana University

Business Background:
Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present
Agent, Beacon Pointe Insurance Services, LLC, 2025-2026
Registered Representative, LPL Financial, LLC, 2004-2025

Professional Designations

Michael Bergen holds the following professional designation:

Certified Investment Management Analyst (CIMA®)

The CIMA® designation is issued by the Investments and Wealth Institute (formerly IMCA). To earn each certification, each CIMA® candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business ("AACSB") accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom education program and also complete a minimum of 30 hours of continuing education every two years. More information about the CIMA® is available at https://investmentsandwealth.org/home.

ITEM 3 – DISCIPLINARY INFORMATION

Michael Bergen has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Bergen is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Michael Bergen is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Michael Bergen is an equity owner in the parent company of the firm. Mr. Bergen does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Michael Bergen oversees the investment advisory services provided by Beacon Pointe Advisors' Warsaw, Indiana office. He is not subject to day-to-

day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

PARKER L. STEWART, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Parker L. Stewart, CFP®, Senior Associate Wealth Advisor, b. 2001

Education:
MBA, Business, Indiana Wesleyan University
BS, Finance, Indiana Wesleyan University
AA, Liberal Arts, Grace College

Business Background:
Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-2026
Financial Planning Associate, Alderfer Bergen & Co., 2023-2025
Full-time Student, Indiana Wesleyan University, 2020-2024

Professional Designations

Parker Stewart holds the following professional designation:

Certified Financial Planner (CFP®)

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To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Parker Stewart has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Parker Stewart is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Parker Stewart is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Stewart does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Jason Gergely, Partner, Managing Director, is generally responsible for supervising Parker Stewart's day-to-day advisory activities. Mr. Gergely can be reached by calling 574-267-6766.

WILLIAM F. DAY, CFP®, ChFC®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

William F. Day, CFP®, ChFC®, Partner, Senior Wealth Advisor, b. 1989

Education:
BS, Medical Imaging, Indiana University

Business Background:
Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present
Agent, Beacon Point Insurance Services, LLC, 2025-Present
Wealth Advisor, Alderfer Bergen & Co., 2019-2025
Registered Representative, LPL Financial, LLC, 2019-2025

Professional Designations

William Day holds the following professional designations:

Certified Financial Planner (CFP®)

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To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

Chartered Financial Consultant (ChFC®)

The ChFC® designation has been a mark of excellence for financial planners for almost thirty years and currently requires more courses than any other financial planning credential. The curriculum covers extensive education and application training in all aspects of financial planning, income taxation, investments, and estate and retirement planning.

To attain the right to use the ChFC® marks, take a proctored exam for each course of study, have 3 years of full-time, relevant business experience within the five years preceding the awarding of the designation,

and complete a minimum of 30 hours continuing education every two years. More information about the ChFC® is available at https://www.theamericancollege.edu/designations-degrees/ChFC.

ITEM 3 – DISCIPLINARY INFORMATION

William Day has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), William Day is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and William Day may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, William Day may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for William Day to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through William Day or BPIS if they follow Mr. Day's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

William Day's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Day does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that William Day is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Alan Alderfer, Partner, Managing Director, is generally responsible for supervising William Day's day-to-day advisory activities. Mr. Alderfer can be reached by calling 574-267-6766.