Form ADV
Part 2B Brochure Supplement

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www.beaconpointe.com

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949-718-1600

This brochure supplement provides information about the individuals listed in the Table of Contents who are supervised persons of Beacon Pointe Advisors, LLC. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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ANDREW L. STERN, CEPA®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Andrew L. Stern, CEPA®, Partner, Managing Director**,** b. 1975

Education:
MBA, Finance, New York University
BA, English, Emory University

Business Background:
Partner, Managing Director, Beacon Pointe Advisors, LLC, 2023-Present
Managing Partner, YorkBridge Wealth Partners, LLC, 2016-2023

Professional Designations

Andrew Stern holds the following professional designation:

Certified Exit Planning Advisor (CEPA®)

The Certified Exit Planning Advisor (CEPA®) designation is awarded by the Exit Planning Institute. The candidate must complete a five-day MBA style program that concludes with a proctored exam. Candidates must also meet all the following requirements:

- Five years of full-time or equivalent experience working directly with business owners as a financial advisor, attorney, CPA, business-broker, investment banker, commercial lender, estate planner, insurance professional, business consultant or in a related capacity.

- Undergraduate degree from a qualifying institution; if no qualifying degree candidate must submit additional professional work experience (two years of relevant professional experience may be substituted for each year of required undergraduate studies).

- Continuing Education: To retain the CEPA designation the designee must obtain 40 hours of Continuing Education ("CE") every three years and remain in good standing with Exit Planning Institute.

More information is available at The Exit Planning Institute

ITEM 3 - DISCIPLINARY INFORMATION

Andrew Stern has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe, in his separate capacity, Andrew Stern sits on the board for The Retreat Inc., a charitable organization located in East Hampton, NY. Mr. Stern is responsible for providing strategic oversight, along with other board members, on the organization's initiatives. Mr. Stern is not compensated and spends less than 5 hours per month in this capacity.

ITEM 5 – ADDITIONAL COMPENSATION

Andrew Stern is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Andrew Stern is an equity owner in the parent company of the firm. Mr. Stern does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Andrew Stern is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Andrew Stern oversees the investment advisory services provided by Beacon Pointe Advisors' New York, New York office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

CARRIE GALLAWAY MAGLIA, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Carrie Gallaway Maglia, CFP®, Partner, Managing Director**,** b. 1976

Education:
BS, Finance and Business, Lehigh University

Business Background:
Partner, Managing Director, Beacon Pointe Advisors, LLC, 2023-Present
Agent, Beacon Pointe Insurance Services, LLC, 2023-Present
Managing Partner, YorkBridge Wealth Partners, LLC, 2016-2023

Professional Designations

Carrie Gallaway Maglia holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Carrie Gallaway Maglia has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to her role at Beacon Pointe Advisors, LLC ("BPA"), Carrie Gallaway Maglia is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, Carrie Gallaway Maglia may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Carrie Gallaway Maglia may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Carrie Gallaway Maglia to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Carrie Gallaway Maglia or BPIS if they follow Ms. Maglia's recommendations.

ITEM 5 – ADDITIONAL COMPENSATION

Carrie Gallaway Maglia is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Carrie Gallaway Maglia is an equity owner in the parent company of the firm. Ms. Maglia does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Carrie Gallaway Maglia is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Carrie Gallaway Maglia oversees the investment advisory services provided by Beacon Pointe Advisors' New York, New York office. She is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

LEIGH T. MOGLIA, CFA®, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Leigh T. Moglia, CFA®, CFP®, Partner, Senior Wealth Advisor**,** b. 1984

Education:
BA, Economics, New York University

Business Background:
Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present
Senior Vice President, YorkBridge Wealth Partners, LLC, 2016-2023

Professional Designations

Leigh Moglia holds the following professional designation(s):

Chartered Financial Analyst (CFA®)

The Chartered Financial Analyst ("CFA®") designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at https://www.cfainstitute.org/

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 - DISCIPLINARY INFORMATION

Leigh Moglia has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Leigh Moglia is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Leigh Moglia is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Leigh Moglia is an equity owner in the parent company of the firm. Mr. Moglia does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Andrew Stern, Partner, Managing Director, is generally responsible for supervising Leigh Moglia's day-to-day advisory activities. Mr. Stern can be reached by calling 631-613-1442.

LOUIS C. AMBROSIO

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Louis C. Ambrosio, Partner, Senior Wealth Advisor**,** b. 1960

Education:
BA, Communications Arts & Sciences, Queens College, CUNY

Business Background:
Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present
Principal & Chief Compliance Officer, Amore Ambro, 2017-2025

ITEM 3 - DISCIPLINARY INFORMATION

Louis Ambrosio has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Louis Ambrosio is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Louis Ambrosio is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Louis Ambrosio is an equity owner in the parent company of the firm. Mr. Ambrosio does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Andrew Stern, Partner, Managing Director, is generally responsible for supervising Louis Ambrosio's day-to-day advisory activities. Mr. Stern can be reached by calling 631-613-1442.

MICHAEL BROGAN, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael Brogan, CFP®, Senior Associate Wealth Advisor, b. 1987

Education:
MBA, Finance, Fairleigh Dickinson University
BS, Accounting, Stockton University

Business Background:
Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-2026
Financial Planning Associate, GoalVest Advisory, 2022-2023
Senior Financial Analyst, Suburban Propane, 2011-2022

Professional Designations

Michael Brogan holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Michael Brogan has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Brogan is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Michael Brogan is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Brogan does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Carrie Gallaway Maglia, Partner, Managing Director, is generally responsible for supervising Michael Brogan's day-to-day advisory activities. Ms. Maglia can be reached by calling 212-574-4070.

STEFAN N. HERMANN

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Stefan N. Hermann, Regional Director, b. 1966

Education:
BSBA, Finance, Boston University

Business Background:
Regional Director, Beacon Pointe Advisors, LLC, 2026-Present
Wealth Advisor, Beacon Trust, 2016-2026

ITEM 3 – DISCIPLINARY INFORMATION

Stefan Hermann has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Stefan Hermann is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Stefan Hermann's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Hermann does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Matthew DiQuollo, National Regional Director, is generally responsible for supervising Stefan Hermann's day-to-day advisory activities. Mr. DiQuollo can be reached by calling 973-287-5437.

THEODORE F. DICKSON, CEPA®, CFA

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Theodore F. Dickson, CEPA®, CFA, Wealth Advisor, b. 1978

Education:
BA, Economics, Washington & Lee University

Business Background:
Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present
Financial Advisor, YorkBridge Wealth Partners, LLC, 2022-2023
Relationship Manager, YorkBridge Wealth Partners, LLC, 2019-2021

Professional Designations

Theodore Dickson holds the following professional designations:

Certified Exit Planning Advisor (CEPA®)

The Certified Exit Planning Advisor (CEPA®) designation is awarded by the Exit Planning Institute. The candidate must complete a five-day MBA style program that concludes with a proctored exam. Candidates must also meet all the following requirements:

- Five years of full-time or equivalent experience working directly with business owners as a financial advisor, attorney, CPA, business-broker, investment banker, commercial lender, estate planner, insurance professional, business consultant or in a related capacity.

- Undergraduate degree from a qualifying institution; if no qualifying degree candidate must submit additional professional work experience (two years of relevant professional experience may be substituted for each year of required undergraduate studies).

- Continuing Education: To retain the CEPA designation the designee must obtain 40 hours of Continuing Education ("CE") every three years and remain in good standing with Exit Planning Institute.

More information is available at The Exit Planning Institute

Chartered Financial Analyst (CFA®)

The Chartered Financial Analyst ("CFA®") designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at https://www.cfainstitute.org/

ITEM 3 – DISCIPLINARY INFORMATION

Theodore Dickson has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Theodore Dickson is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 – ADDITIONAL COMPENSATION

Theodore Dickson's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Dickson does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Andrew Stern, Partner, Managing Director, is generally responsible for supervising Theodore Dickson's day-to-day advisory activities. Mr. Stern can be reached by calling 631-613-1442.

VINCENT A. MATTONE, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Vincent A. Mattone, CFP®, Partner, Senior Wealth Advisor, b. 1983

Education:
BA, Economics and Political Science, Union College

Business Background:
Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Agent, Beacon Pointe Insurance Services, LLC, 2026-Present
Wealth Advisor, Advisors Capital Management, LLC, 2021-2026
Relationship Manager, TD Bank, N.A., 2015-2021
Registered Representative, TD Private Client Wealth, LLC, 2015-2021

Professional Designations

Vincent Mattone holds the following professional designation:

Certified Financial Planner (CFP®)

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To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Vincent Mattone has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Vincent Mattone is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under

common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Vincent Mattone may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Vincent Mattone may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for [SUPERVISED PERSON] to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Vincent Mattone or BPIS if they follow Mr. Mattone's recommendations.

ITEM 5 – ADDITIONAL COMPENSATION

Vincent Mattone is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Vincent Mattone is an equity owner in the parent company of the firm. Mr. Mattone does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Vincent Mattone is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Andrew Stern, Partner, Managing Director, is generally responsible for supervising Vincent Mattone's day-to-day advisory activities. Mr. Stern can be reached by calling 631-613-1442.