Form ADV
Part 2B Brochure Supplement

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www.beaconpointe.com

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503-212-1005

Corporate Office:
24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
949-718-1600

This brochure supplement provides information about the individuals listed in the Table of Contents who are supervised persons of Beacon Pointe Advisors, LLC. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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BO Y. LEE, CFWA

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Bo Y. Lee, **CFWA,** Senior Wealth Advisor, b. 1977

Education:
BA, Economics, University of Washington

Business Background:
Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present
Agent, Beacon Pointe Insurance Services, LLC, 2025-Present
Senior Wealth Advisor/Market President, BNY Mellon Wealth Management, 2008-2023

Professional Designations

Bo Lee holds the following professional designation:

Certified Family Wealth Advisor (CFWA)

The Certificate of Family Wealth Advising (CFWA) is offered by the FFI Global Education Network (GEN), an educational branch of the Family Firm Institute. The Certificate in Family Wealth Advising (CFWA) is designed for those who work with multi-generational families that are organized primarily around a portfolio of investments, a family office, philanthropic initiatives, etc. This program consists of three required courses and their accompanying webinar. More information regarding the CFWA is available at https://www.ffigen.org/pages/program-overview.

ITEM 3 - DISCIPLINARY INFORMATION

Bo Lee has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role at Beacon Pointe Advisors, LLC ("BPA"), Bo Lee is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Bo Lee may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Bo Lee may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Bo Lee to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Bo Lee or BPIS if they follow Ms. Lee 's recommendations.

In addition to Bo Lee's role with Beacon Pointe Advisors, LLC, she serves as a member the Washington State Opportunity Scholarship – Finance Committee. This activity accounts for a de minimis amount of Bo

Lee's time and income. This activity is unrelated to Beacon Pointe Advisors, LLC's advisory business. Beacon Pointe Advisors, LLC, does not believe it presents a conflict of interest.

ITEM 5 - ADDITIONAL COMPENSATION

Bo Lee's compensation comes from Beacon Pointe Advisors, LLC. She receives a salary and variable compensation that is based on the assets under management in the client accounts she services. Ms. Lee does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Bo Lee is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising Bo Lee's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.

GRANT E. HARTVIGSON, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Grant E. Hartvigson, CFP®, Partner, Managing Director, b. 1974

Education:
BA, Business Finance, University of Washington

Business Background:
Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present
Agent, Beacon Pointe Insurance Services, 2021-Present
Registered Representative, Purshe Kaplan Sterling Investments, Inc., 2021-2024
Registered Representative, LPL Financial, 2002-2021
Investment Advisor, Rainier Financial Group, Inc., 2003-2021

Professional Designations

Grant Hartvigson holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 - DISCIPLINARY INFORMATION

Grant Hartvigson has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Grant Hartvigson is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under

common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Grant Hartvigson may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Grant Hartvigson may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Grant Hartvigson to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Grant Hartvigson or BPIS if they follow Mr. Harvigson's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Grant Hartvigson is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Grant Hartvigson is an equity owner in the parent company of the firm. Mr. Hartvigson does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Grant Hartvigson is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Grant Hartvigson oversees the investment advisory services provided by Beacon Pointe Advisors' Seattle, Washington office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

JAMES J. EDWARDS

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

James J. Edwards, Senior Relationship Manager, b. 1982

Education:
BS, Finance, University of Idaho

Business Background:
Senior Relationship Manager, Beacon Pointe Advisors, LLC, 2026-Present
Portfolio Management Senior Associate, Bank of America Private Bank, 2014-2026

ITEM 3 - DISCIPLINARY INFORMATION

James Edwards has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

James Edwards is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

James Edwards is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Edwards does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Bo Lee, Partner, Senior Wealth Advisor, is generally responsible for supervising James Edwards's day-to-day advisory activities. Ms. Lee can be reached by calling 206-417-5600.

JAMES R. SIMONE, CFP®, CFA

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

James R. Simone, CFP®, CFA, Senior Wealth Advisor, b. 1966

Education:
BA, English and American Literature, Brown University

Business Background:
Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-Present
Agent, Beacon Pointe Insurance Services, 2021-Present
Registered Representative, Purshe Kaplan Sterling Investments, Inc., 2021-2024
Investment Advisor Representative, Rainier Financial Group, Inc., 2018-2021
Registered Representative, LPL Financial, 2018-2021

Professional Designations

James Simone holds the following professional designations:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

Chartered Financial Analyst (CFA®)

The Chartered Financial Analyst ("CFA®") designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most

candidates between two and five years. More information regarding the CFA is available at https://www.cfainstitute.org/

ITEM 3 - DISCIPLINARY INFORMATION

James Simone has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), James Simone is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and James Simone may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, James Simone may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for James Simone to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through James Simone or BPIS if they follow Mr. Simone's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

James Simone's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Simone does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that James Simone is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising James Simone's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.

JEFFREY J. MOSELEY, CFA

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jeffrey J. Moseley, CFA, Partner, Senior Wealth Advisor, b. 1991

Education:
BBA, Finance, University of Oklahoma

Business Background:
Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Wealth Manager, BNY Mellon, NA, 2021-2026

Professional Designations

Jeffrey Moseley holds the following professional designation:

Chartered Financial Analyst (CFA®)

The Chartered Financial Analyst ("CFA®") designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at https://www.cfainstitute.org/

ITEM 3 - DISCIPLINARY INFORMATION

Jeffrey Moseley has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Jeffrey Moseley is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Jeffrey Moseley is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Jeffrey Moseley is an equity owner in the parent company of the firm. Mr. Moseley does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and

conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising Jeffrey Moseley's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.

JOHN "JACK" S. DELAFIELD, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John "Jack" S. Delafield, CFP®, Senior Associate Wealth Advisor, b. 2001

Education:
BA, Economics, Colorado College

Business Background:
Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-2026
Intern, Beacon Pointe Advisors, LLC, 2023
Student, Colorado College, 2020-2024

Professional Designations

Jack Delafield holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 - DISCIPLINARY INFORMATION

Jack Delafield has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Jack Delafield is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Jack Delafield is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Delafield does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising Jack Delafield's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.

KIMBERLY A. ARNESEN, CDFA®, ChFC®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Kimberly A. Arnesen, CDFA®, ChFC®, Senior Wealth Advisor, b. 1984

Education:
Associate Degree, Shoreline Community College

Business Background:
Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-Present
Agent, Beacon Pointe Insurance Services, 2021-Present
Registered Representative, Purshe Kaplan Sterling Investments, Inc., 2021-2024
Registered Representative, LPL Financial, 2009-2021
Financial Advisor, Rainier Financial Group, Inc., 2007-2021

Professional Designations

Kimberly Arnesen holds the following professional designations:

Certified Divorce Financial Analyst (CDFA®)

The designation is issued by the Institute for Divorce Financial Analysts. The candidate is required to develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study. The candidate must also have two years' minimum experience in a financial or legal capacity. The candidate must complete a four-part exam and demonstrate the practical application of this knowledge. Continuing education of 30 hours every two years is required to maintain the designation. More information about the CDFA® can be found at: https://www.institutedfa.com/

Chartered Financial Consultant (ChFC®)

The ChFC® designation has been a mark of excellence for financial planners for almost thirty years and currently requires more courses than any other financial planning credential. The curriculum covers extensive education and application training in all aspects of financial planning, income taxation, investments, and estate and retirement planning.

To attain the right to use the ChFC® marks, take a proctored exam for each course of study, have 3 years of full-time, relevant business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours continuing education every two years. More information regarding the ChFC® can be found at https://www.theamericancollege.edu/designations-degrees/ChFC.

ITEM 3 - DISCIPLINARY INFORMATION

Kimberly Arnesen has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role at Beacon Pointe Advisors, LLC ("BPA"), Kimberly Arnesen is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Kimberly Arnesen may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Kimberly Arnesen may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Kimberly Arnesen to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Kimberly Arnesen or BPIS if they follow Ms. Arnesen's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Kimberly Arnesen's compensation comes from Beacon Pointe Advisors, LLC. She receives a salary and variable compensation that is based on the assets under management in the client accounts she services. Ms. Arnesen does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Kimberly Arnesen is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising Kimberly Arnesen's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.

MATTHEW F. MARSH, CFP®, CIMA®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Matthew F. Marsh, CFP®, CIMA®, Partner, Senior Wealth Advisor, b. 1973

Education:
MBA, Emory University
BBA, Finance, Loyola Marymount University

Business Background:
Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Partner, Summit Trail Advisors, LLC, 2024-2026
Licensed Branch Employee, LPL Financial LLC, 2023-2024
Key Client Advisor, BMO Bank NA, 2019-2024
Key Client Advisor, BancWest Investment Services, 2019-2023

Professional Designations

Matthew Marsh holds the following professional designations:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

Certified Investment Management Analyst (CIMA®)

The CIMA® designation is issued by the Investments and Wealth Institute (formerly IMCA). To earn each certification, each CIMA® candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business ("AACSB") accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom

education program and also complete a minimum of 30 hours of continuing education every two years. More information about the CIMA® is available at https://investmentsandwealth.org/home.

ITEM 3 - DISCIPLINARY INFORMATION

Matthew Marsh has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Matthew Marsh is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Matthew Marsh is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Matthew Marsh is an equity owner in the parent company of the firm. Mr. Marsh does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising Matthew Marsh's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.

PETRA LJUBIC-LANE

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Petra Ljubic-Lane, Senior Relationship Manager, b. 1975

Education:
BS, Economics, University of Ljubljana, Slovenia

Business Background:
Senior Relationship Manager, Beacon Pointe Advisors, LLC, 2026-Present
Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2022-2026
Associate Planner, Columbia Pacific Wealth Management, LLC, 2015-2022

ITEM 3 - DISCIPLINARY INFORMATION

Petra Ljubic-Lane has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Petra Ljubic-Lane is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Petra Ljubic-Lane is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, she is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients she refers to the firm. Ms. Ljubic-Lane does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising Petra Ljubic-Lane's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.

SHIMA SALEHI, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Shima Salehi, CFP®, Partner, Senior Wealth Advisor, b. 1983

Education:
BSBA, Finance, Colorado Technical University

Business Background:
Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Agent, Beacon Pointe Insurance Services, LLC, 2026-Present
Partner, Summit Trail Advisors, LLC, 2024-2026
Licensed Branch Employee, LPL Financial LLC, 2023-2024
Key Client PCA, BMO Bank NA, 2016-2024
Key Client PCA, BancWest Investment Services, 2016-2023

Professional Designations

Shima Salehi holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 - DISCIPLINARY INFORMATION

Shima Salehi has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role at Beacon Pointe Advisors, LLC ("BPA"), Shima Salehi is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common

ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Shima Salehi may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Shima Salehi may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Shima Salehi to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Shima Salehi or BPIS if they follow Ms. Salehi's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Shima Salehi is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Shima Salehi is an equity owner in the parent company of the firm. Ms. Salehi does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Shima Salehi is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Grant Hartvigson, Partner, Managing Director, is generally responsible for supervising Shima Salehi's day-to-day advisory activities. Mr. Hartvigson can be reached by calling 206-417-5600.