Form ADV
Part 2B Brochure Supplement

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www.beaconpointe.com

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813-251-1879

Corporate Office:
24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
949-718-1600

This brochure supplement provides information about the individuals listed in the Table of Contents who are supervised persons of Beacon Pointe Advisors, LLC. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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BRIAN KEENEY, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Brian Keeney, CFP®, Associate Wealth Advisor**,** b. 2001

Education:
BA, Finance and Supply Chain Management, University of Maryland

Business Background:
Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present
Client Service Associate, Beacon Pointe Advisors, LLC, 2024-2025
Client Service Associate, Keeney Financial Group Inc., 2023-2024
Student, University of Maryland, 2019-2024

Professional Designations

Brian Keeney holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Brian Keeney has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Brian Keeney is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 – ADDITIONAL COMPENSATION

Brian Keeney is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Keeney does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

John Keeney, Partner, Managing Director, is generally responsible for supervising Brian Keeney's day-to-day advisory activities. Mr. Keeney can be reached by calling 954-206-2610.

DONALD W. FERGUSON, CIS®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Donald W. Ferguson, CIS®, Principle, Senior Wealth Advisor**,** b. 1965

Education:
BS, Business Administration, University of Baltimore

Business Background:
Principle, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present
Agent, Beacon Pointe Insurance Services, LLC, 2024-Present
Advisor, Keeney Financial Group Inc., 2015-2024
Registered Representative, Triad Advisors, Inc., 2015-2024

Professional Designations

Donald Ferguson holds the following professional designation:

Certified Income Specialist (CIS™)

The Certified Income Specialist (CIS™) professional designation is offered by the Institute of Business & Finance (IBF). Individuals with this professional designation have honed their ability to help clients accumulate wealth and have mastered the specialized expertise in wealth distribution and management that is crucial to each client's financial future. CIS™ designees has the knowledge and offers specialized guidance on retirement planning, cash reserves and FDIC coverage, closed-end funds, utilities, bonds, and preferred shares. More information regarding the CIS™ is available at https://icfs.com/.

ITEM 3 – DISCIPLINARY INFORMATION

Donald Ferguson has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Donald Ferguson is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Donald Ferguson may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Donald Ferguson may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Donald Ferguson to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Donald Ferguson or BPIS if they follow Mr. Ferguson's recommendations.

ITEM 5 – ADDITIONAL COMPENSATION

Donald Ferguson's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Ferguson does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Donald Ferguson is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

John Keeney, Partner, Managing Director, is generally responsible for supervising Donald Ferguson's day-to-day advisory activities. Mr. Keeney can be reached by calling 954-206-2610.

GRACE K. BOYLE

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Grace K. Boyle, Senior Client Service Associate**,** b. 1997

Education:
BA, Risk Management, Saint Joseph's University

Business Background:
Senior Client Service Associate, Beacon Pointe Advisors, LLC, 2025-Present
Client Service Associate, Beacon Pointe Advisors, LLC, 2024-2025
Client Service Associate, Keeney Financial Group, Inc., 2022-2024
Mortgage Underwriter, Freedom Mortgage, 2021-2022

ITEM 3 – DISCIPLINARY INFORMATION

Grace Boyle has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Grace Boyle is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 – ADDITIONAL COMPENSATION

Grace Boyle is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, she is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients she refers to the firm. Ms. Boyle does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

John Keeney, Partner, Managing Director, is generally responsible for supervising Grace Boyle's day-to-day advisory activities. Mr. Keeney can be reached by calling 954-206-2610.

JOHN P. KEENEY, CPWA®, CRPC®, CRPS®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John P. Keeney, CPWA®, CRPC®, CRPS®, Partner, Managing Director, b. 1965

Education:
BA, Political Science, Moravian University

Business Background:
Partner, Managing Director, Beacon Pointe Advisors, LLC, 2024-Present
Agent, Beacon Pointe Insurance Services, LLC, 2024-Present
President, Keeney Financial Group Inc., 2015-2024
Registered Representative, Triad Advisors, Inc., 2015-2024

Professional Designations

John Keeney holds the following professional designation(s):

Certified Private Wealth Advisor (CPWA®)

The Certified Private Wealth Advisor (CPWA®) designation is issued by the Investments and Wealth Institute (formerly IMCA). The CPWA® designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on management topics and strategies for high-net-worth clients. Prerequisites for the CPWA® designation are a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, RMA®, CFA®, CFP®, ChFC® or CPA license; acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements; five years of professional client-centered experience in financial services or a related industry; and successfully complete a comprehensive background check. CPWA® designees must complete a six-month pre-class educational component and a five-day classroom education program through The University of Chicago Booth School of Business. CPWA® designees are required to adhere to the Investments & Wealth Institute's *Code of Professional Responsibility* and the appropriate use of certification marks. CPWA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. More information regarding the CPWA is available at https://investmentsandwealth.org/.

Chartered Retirement Planning Counselor (CRPC®)

The Chartered Retirement Planning Counselor (CRPC®) designation is a retirement planning credential awarded by the College for Financial Planning® to individuals who meet its educational, examination and ethical requirements. To earn a CRPC® designation, candidates are required to have completed the CRPC® Designation Program, a self-taught educational program focused on various pre- and post- retirement needs of individuals. Recipients are further required to have successfully passed a multiple-choice examination addressing a range of retirement-related matters, such as estate planning and asset management. On an ongoing basis, CRPC® designees are also required to affirm their adherence to the applicable Code of Ethics and complete at least 16 hours of continuing education every two years. More information about the CRPC is available at https://www.cffp.edu/.

Chartered Retirement Plans Specialist℠ (CRPS®)

The Chartered Retirement Plans Specialist℠ (CRPS®) is a credential for those who create, implement, and maintain retirement plans for businesses. Unlike most other professional financial planning and advisory professional designations, the CRPS® focuses on wholesale and business clients. It is awarded by the College for Financial Planning to individuals who pass an exam demonstrating their expertise. Every two years, CRPS® professionals must complete 16 hours of continuing education and pay a nominal fee to continue using the designation. More information regarding the CRPS® is available at https://www.kaplanfinancial.com/wealth-management

ITEM 3 – DISCIPLINARY INFORMATION

John Keeney has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), John Keeney is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and John Keeney may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, John Keeney may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for John Keeney to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through John Keeney or BPIS if they follow Mr. Keeney's recommendations.

ITEM 5 – ADDITIONAL COMPENSATION

John Keeney is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. John Keeney is an equity owner in the parent company of the firm. Mr. Keeney does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that John Keeney is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, John Keeney oversees the investment advisory services provided by Beacon Pointe Advisors' Columbia, Maryland and Fort Lauderdale, Florida office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

KRISTIAN I. ALBORNOZ, CFP®, CIMA®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Kristian I. Albornoz, CFP®, CIMA®, Principle, Senior Wealth Advisor**,** b. 1989

Education:
BS, Business Administration, Elon University

Business Background:
Principle, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present
Agent, Beacon Pointe Insurance Services, LLC, 2024-Present
Advisor, Keeney Financial Group Inc., 2015-2024
Registered Representative, Triad Advisors, Inc., 2015-2024

Professional Designations

Kristian Albornoz holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

Certified Investment Management Analyst (CIMA®)

The CIMA® designation is issued by the Investments and Wealth Institute (formerly IMCA). To earn each certification, each CIMA® candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business ("AACSB") accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom education program and also complete a minimum of 30 hours of continuing education every two years. More information about the CIMA® is available at https://investmentsandwealth.org/home.

ITEM 3 – DISCIPLINARY INFORMATION

Kristian Albornoz has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Kristian Albornoz is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Kristian Albornoz may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Kristian Albornoz may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Kristian Albornoz to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Kristian Albornoz or BPIS if they follow Mr. Albornoz's recommendations.

In addition to Kristian Albornoz's role with Beacon Pointe Advisors, LLC, he serves as a Board Member of The Arc Howard County Board Member. This activity accounts for a de minimis amount of Kristian Albornoz's time and income. This activity is unrelated to Beacon Pointe Advisors, LLC's advisory business. Beacon Pointe Advisors, LLC, does not believe it presents a conflict of interest.

ITEM 5 – ADDITIONAL COMPENSATION

Kristian Albornoz's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Albornoz does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Kristian Albornoz is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

John Keeney, Partner, Managing Director, is generally responsible for supervising Kristian Albornoz's day-to-day advisory activities. Mr. Keeney can be reached by calling 954-206-2610.

NATHAN P. KEENEY, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Nathan P. Keeney, CFP®, Senior Associate Wealth Advisor**,** b. 1998

Education:
BA, Economics, Johns Hopkins University

Business Background:
Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present
Senior Associate Wealth Advisor, Keeney Financial Group, Inc., 2024-2024
Finance Analyst, Huber Engineered Woods, 2021-2024
Investment Banking Analyst, Chesapeake Corporate Advisors, 2020-2021

Professional Designations

Nathan Keeney holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Nathan Keeney has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Nathan Keeney is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 – ADDITIONAL COMPENSATION

Nathan Keeney is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Keeney does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

John Keeney, Partner, Managing Director, is generally responsible for supervising Nathan Keeney's day-to-day advisory activities. Mr. Keeney can be reached by calling 954-206-2610.

ZACHARY O. BOLEYN, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Zachary O. Boleyn, CFP®, Wealth Advisor**,** b. 1988

Education:
BS, Banking, Corporate, Finance, and Securities Law, Clemson University

Business Background:
Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present
Agent, Beacon Pointe Insurance Services, LLC, 2024-Present
Client Services, Keeney Financial Group Inc., 2015-2024
Registered Representative, Triad Advisors, Inc., 2015-2024

Professional Designations

Zachary Boleyn holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP® Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at http://www.cfp.net/default.asp.

ITEM 3 – DISCIPLINARY INFORMATION

Zachary Boleyn has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Zachary Boleyn is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Zachary Boleyn may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Zachary Boleyn may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Zachary Boleyn to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Zachary Boleyn or BPIS if they follow Mr. Boleyn's recommendations.

ITEM 5 – ADDITIONAL COMPENSATION

Zachary Boleyn's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Boleyn does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Zachary Boleyn is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

John Keeney, Partner, Managing Director, is generally responsible for supervising Zachary Boleyn's day-to-day advisory activities. Mr. Keeney can be reached by calling 954-206-2610.

SEAN M. BATES

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Sean M. Bates, Wealth Advisor**,** b. 1997

Education:
BS, Finance, University of South Florida

Business Background:
Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present
Investment Advisor Representative, Wealth Benefit Advisors, LLC, 2026-2026
Financial Advisor, Raymond James & Associates, Inc., 2015-2026

ITEM 3 – DISCIPLINARY INFORMATION

Sean Bates has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Sean Bates is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 – ADDITIONAL COMPENSATION

Sean Bates' compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Bates does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

John Keeney, Partner, Managing Director, is generally responsible for supervising Sean Bates' day-to-day advisory activities. Mr. Keeney can be reached by calling 954-206-2610.