



## Form ADV Part 2B Brochure Supplement

June 2026

### Individuals covered by this supplement include:

Allison G. Geiger

Chad A. Smith

Mary S. Chastain

Steven L. Blankenship

[www.beaconpointe.com](http://www.beaconpointe.com)

#### Office Location:

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Dallas, TX 75231

214-446-2100

#### Corporate Office:

24 Corporate Plaza Drive, Suite 150

Newport Beach, CA 92660

949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# ALLISON G. GEIGER, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Allison G. Geiger, CFP®, Partner, Managing Director, b. 1978

*Education:*

BBA, Marketing, Texas A&M, University

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present

Financial Planner, Heritage Financial Planning, 2014-2025

### Professional Designations

Allison Geiger holds the following professional designation:

*Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Allison Geiger has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Allison Geiger is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Allison Geiger is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Allison Geiger is an equity owner in the parent company of the firm. Ms. Geiger does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 - SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Allison Geiger oversees the investment advisory services provided by Beacon Pointe Advisors' Dallas, Texas office. She is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.

# CHAD A. SMITH, CFP®, ChFC®, CLU®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Chad A. Smith, CFP®, ChFC®, CLU®**, Partner, Senior Wealth Advisor, b. 1972

### *Education:*

BBA, Business Administration, University of Texas

### *Business Background:*

Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Managing Director, Heritage Financial Planning, LLC, 2019-2025

## Professional Designations

Chad Smith holds the following professional designations:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

### *Chartered Financial Consultant (ChFC®)*

The ChFC® designation has been a mark of excellence for financial planners for almost thirty years and currently requires more courses than any other financial planning credential. The curriculum covers extensive education and application training in all aspects of financial planning, income taxation, investments, and estate and retirement planning.

To attain the right to use the ChFC® marks, take a proctored exam for each course of study, have 3 years of full-time, relevant business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours continuing education every two years. More information regarding the ChFC® can be found at <https://www.theamericancollege.edu/designations-degrees/ChFC>.

### Chartered Life Underwriter (CLU®)

The CLU designation is offered by The American College. To earn the credential, the CLU candidate must successfully complete the five required courses and certify compliance with The American College Code of Ethics and Procedures. Participation in the annual Professional Recertification Program is required to maintain the designation. More information about the CLU is available at <https://www.theamericancollege.edu>.

### **ITEM 3 – DISCIPLINARY INFORMATION**

Chad Smith has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Chad Smith is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Chad Smith is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Chad Smith is an equity owner in the parent company of the firm. Mr. Smith does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

### **ITEM 6 - SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Steven Blankenship, Partner, Managing Director, is generally responsible for supervising Chad Smith's day-to-day advisory activities. Mr. Blankenship can be reached by calling 214-446-2100.

# MARY S. CHASTAIN, RFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Mary S. Chastain, RFP®, Operations Manager, b. 1962

*Education:*

BS, Business Administration, Walden University

*Business Background:*

Operations Manager, Beacon Pointe Advisors, LLC, 2025-Present

Investment Adviser Representative, Heritage Financial Planning, LLC, 2022-2025

Chief Compliance Officer, Heritage Financial Planning, LLC, 2022-2025

National Director of Operations, Heritage Financial Planning, LLC, 2019-2023

### Professional Designations

Mary Chastain holds the following professional designation:

*Registered Financial Planner (RFP®)*

Awarded by the Registered Financial Planners Institute. Candidates were required to have at least three years of approved financial planning experience, complete a course of study through an approved educational provider, pass a comprehensive examination covering financial planning principles, and adhere to the Institute's Code of Ethics and Standards of Practice. Continuing education was also required to maintain the designation.

*The RFP® designation is no longer offered to new applicants; however, individuals who earned the designation before the program ended may continue to use it.*

## ITEM 3 – DISCIPLINARY INFORMATION

Mary Chastain has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Mary Chastain is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Mary Chastain is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, she is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients she refers to the firm. Ms. Chastain does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 - SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Steven Blankenship, Partner, Managing Director, is generally responsible for supervising Mary Chastain's day-to-day advisory activities. Mr. Blankenship can be reached by calling 214-446-2100.

# STEVEN L. BLANKENSHIP, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Steven L. Blankenship, CFP®, Partner, Managing Director, b. 1974

### *Education:*

BBA, Financial Services and Planning, Baylor University

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present

Managing Member, Heritage Financial Planning, LLC, 2004-2025

## Professional Designations

Steven Blankenship holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Steven Blankenship has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to Steven Blankenship's role with Beacon Pointe Advisors, LLC, he serves as a Partner on the board of Wealth Advisors Trust Company, a fee-only administrative trust company. He also serves on the board as a Partner for WATC Management, LLC, which provides marketing and support services for administrative trust companies. Steven Blankenship also is the sole owner of Lanswan investments, LLC. These activities account for a de minimis amount of Steven Blankenship's time and income. These

activities are unrelated to Beacon Pointe Advisors, LLC's advisory business. Beacon Pointe Advisors, LLC, does not believe it presents a conflict of interest.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Steven Blankenship is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Steven Blankenship is an equity owner in the parent company of the firm. Mr. Blankenship does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Steven Blankenship is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

## **ITEM 6 - SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Steven Blankenship oversees the investment advisory services provided by Beacon Pointe Advisors' Dallas Texas office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.