



Form ADV Part 2B Brochure Supplement

May 2026

Individuals covered by this supplement include:

Lucia B. Shah
Vikki D. Lenhart

www.beaconpointe.com

Office Location:

19 Research Drive, #1
Amherst, MA 01002
413-253-9454

Corporate Office:

24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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LUCIA B. SHAH, CFP®, TPCP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Lucia B. Shah, CFP®, TPCP®, Wealth Advisor, b. 1987

Education:

MTS, Theological Studies, Boston College

BA, Political Science and Philosophy, Union College

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Agent, Beacon Pointe Insurance Services, LLC, 2025-Present

Financial Planner, Hart & Patterson Financial Group, 2022-2025

Financial Planning Advisor, Carl P. Sherr and Co., 2019-2022

Professional Designations

Lucia Shah holds the following professional designations:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

Tax Planning Certified Professional (TPCP®)

The Tax Planning Certified Professional® (TPCP®) is a specialized designation credential offered by The American College of Financial Services that provides financial professionals with comprehensive tax planning training. Unlike the tax planning role of a CPA or attorney, whose income tax planning advice focuses on individual items and current expenses, the TPCP® focuses on giving professionals insights into tax-informed planning over a long-term time horizon; in other words, becoming a tax planning specialist who considers the bigger picture and how clients’ decisions now and in the future can lead to minimizing their tax burdens and maximizing their income.

To earn this tax planning certification, candidates must complete the three-course program including all associated classwork and a final exam for each course. Advisors take tax planning courses with subject matter including tax implications of investment vehicles, retirement planning and savings distribution, and minimizing state and federal taxes for individuals and business owners. More information about the TPCP® is available at: <https://www.theamericancollege.edu/learn/professional-designations-certifications/tpcp>

ITEM 3 – DISCIPLINARY INFORMATION

Lucia Shah has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role at Beacon Pointe Advisors, LLC ("BPA"), Lucia Shah is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Lucia Shah may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Lucia Shah may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Lucia Shah to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Lucia Shah or BPIS if they follow Ms. Shah's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Lucia Shah's compensation comes from Beacon Pointe Advisors, LLC. She receives a salary and variable compensation that is based on the assets under management in the client accounts she services. Ms. Shah does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Lucia Shah is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Vikki Lenhart, Partner, Managing Director, is generally responsible for supervising Lucia Shah's day-to-day advisory activities. Ms. Lenhart can be reached by calling 413-253-9454.

VIKKI D. LENHART, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Vikki D. Lenhart, CFP®, Partner, Managing Director, b. 1969

Education:

MS, Science Exercise and Sports Studies, Smith College

MBA, Business Administration, University of Massachusetts Amherst

BA, English, Mary Washington College

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present

Agent, Beacon Pointe Insurance Services, LLC, 2025-Present

Managing Member, Hart & Patterson Financial Group, 2021-2025

Professional Designations

Vikki Lenhart holds the following professional designation:

Certified Financial Planner (CFP®)

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 – DISCIPLINARY INFORMATION

Vikki Lenhart has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role at Beacon Pointe Advisors, LLC (“BPA”), Vikki Lenhart is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”), a California-licensed insurance agency, under common

ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Vikki Lenhart may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Vikki Lenhart may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Vikki Lenhart to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Vikki Lenhart or BPIS if they follow Ms. Lenhart's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Vikki Lenhart is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Vikki Lenhart is an equity owner in the parent company of the firm. Ms. Lenhart does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Vikki Lenhart is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Vikki Lenhart oversees the investment advisory services provided by Beacon Pointe Advisors' Amherst, Massachusetts office. She is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to 949-718-1600.