



## Form ADV Part 2B Brochure Supplement

May 2026

### Individuals covered by this supplement include:

Hugh W. Gates  
Jay L. Healy  
Kenneth "KC" C. Griffin

[www.beaconpointe.com](http://www.beaconpointe.com)

#### **Office Location:**

1715 Aaron Brenner Drive, Suite 218  
Memphis, TN 38120  
901-850-5532

#### **Corporate Office:**

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# HUGH W. GATES, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Hugh W. Gates, CFP®, Wealth Advisor, b. 1980

### *Education:*

BA, International Studies, University of Mississippi

BBA, Managerial Finance, University of Mississippi

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Financial Planner, Legacy Wealth Management, 2022-2023

VP Portfolio Manager, Delta Asset Management, 2015-2022

## Professional Designations

Hugh Gates holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Hugh Gates has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Hugh Gates is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Hugh Gates' compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Gates does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 - SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Jay Healy, Partner, Managing Director, is generally responsible for supervising Hugh Gates' day-to-day advisory activities. Mr. Healy can be reached by calling 901-850-5532.

# JAY L. HEALY, CFP®, CEPA®, CAP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jay L. Healy, CFP®, CEPA®, CAP®, Partner, Managing Director, b. 1963

### *Education:*

MBA, Christian Brothers University  
BA, Communications, Houghton College

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2022-Present  
Founder and President, Century Wealth Management, LLC, 2007-2021

## Professional Designations

Jay Healy holds the following professional designations:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

### *Certified Exit Planning Advisor, (CEPA®)*

The Certified Exit Planning Advisor (CEPA®) designation is awarded by the Exit Planning Institute. The candidate must complete a five-day MBA style program that concludes with a proctored exam. Candidates must also meet all the following requirements:

- Five years of full-time or equivalent experience working directly with business owners as a financial advisor, attorney, CPA, business-broker, investment banker, commercial lender, estate planner, insurance professional, business consultant or in a related capacity.

- Undergraduate degree from a qualifying institution; if no qualifying degree candidate must submit additional professional work experience (two years of relevant professional experience may be substituted for each year of required undergraduate studies).
- Continuing Education: To retain the CEPA designation the designee must obtain 40 hours of Continuing Education (“CE”) every three years and remain in good standing with Exit Planning Institute.

More information is available at [The Exit Planning Institute](#)

### *Chartered Advisor in Philanthropy (CAP®)*

The Chartered Advisor in Philanthropy (CAP®) designation is issued by The American College of Financial Services, Candidates must have three years of full-time, relevant business experience within the five years preceding the certification. Relevant experience may include working in a non-profit organization, serving on the nonprofit board or volunteering. Candidates must complete three courses and certify compliance with The American College Code of Ethics and Procedures. Participation in the annual Professional Recertification Program (RPP) is required to maintain the designation. More information about the CAP® Program is available at <https://www.theamericancollege.edu>.

## **ITEM 3 - DISCIPLINARY INFORMATION**

Jay Healy has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Jay Healy is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Jay Healy is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Jay Healy is an equity owner in the parent company of the firm. Mr. Healy does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 - SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Jay Healy oversees the investment advisory services provided by Beacon Pointe Advisors’ Memphis, Tennessee office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm’s compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm’s compliance program can be directed to 949-718-1600.

# KENNETH “KC” C. GRIFFIN

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Kenneth “KC” C. Griffin**, Senior Associate Wealth Advisor, b. 1990

*Education:*

BA, Economics, University of Memphis

*Business Background:*

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2022-2025

Coach, V Tennis, 2017-2022

## ITEM 3 - DISCIPLINARY INFORMATION

KC Griffin has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

KC Griffin is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

KC Griffin is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Griffin does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm’s compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, to help ensure compliance with applicable regulatory requirements.

Jay Healy, Partner, Managing Director, is generally responsible for supervising KC Griffin’s day-to-day advisory activities. Mr. Healy can be reached by calling 901-850-5532.