



## Form ADV Part 2B Brochure Supplement

April 2026

[www.beaconpointe.com](http://www.beaconpointe.com)

### **Office Locations:**

703 Green Valley Road, Suite 201  
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### **Corporate Office:**

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the individuals listed in the Table of Contents who are supervised persons of Beacon Pointe Advisors, LLC. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# BRENDAN G. STRINGER, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Brendan G. Stringer, CFP®**, Wealth Advisor, b. 1990

*Education:*

BS, Business Management, University of Colorado

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-2026

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2022-2024

Director of Client Relations, Claredon Wealth Management, 2018-2022

Registered Staff Member, Commonwealth Financial Network, 2018-2022

### Professional Designations

Brendan Stringer holds the following professional designation:

*Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Brendan Stringer has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Brendan Stringer is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Brendan Stringer's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Stringer does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 - SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Charles Carrick, Partner, Managing Director, is generally responsible for supervising Brendan Stringer's day-to-day advisory activities. Mr. Carrick can be reached by calling 336-900-7086.

# CHARLES E. CARRICK, CFP®, ChFC®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Charles E. Carrick, CFP®, ChFC®, Partner, Managing Director, b. 1959

### *Education:*

BS, Administrative Science, Guilford College

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present

Agent, Beacon Pointe Insurance Services, LLC, 2021-Present

Managing Partner, DMJ Wealth Advisors, LLC, 2001-2021

## Professional Designations

Charles Carrick holds the following professional designations:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

### *Chartered Financial Consultant (ChFC®)*

The ChFC® designation has been a mark of excellence for financial planners for almost thirty years and currently requires more courses than any other financial planning credential. The curriculum covers extensive education and application training in all aspects of financial planning, income taxation, investments, and estate and retirement planning.

To attain the right to use the ChFC® marks, take a proctored exam for each course of study, have 3 years of full-time, relevant business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours continuing education every two years. More information regarding the ChFC® can be found at <https://www.theamericancollege.edu/designations-degrees/ChFC>.

### ITEM 3 - DISCIPLINARY INFORMATION

Charles Carrick has no disciplinary history to disclose.

### ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Charles Carrick is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Charles Carrick may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Charles Carrick may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Charles Carrick to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Charles Carrick or BPIS if they follow Mr. Carrick's recommendations.

Charles Carrick serves as Partner for DMJ Properties, an entity which owns property which is leased by DMJ entities. This activity accounts for a de minimis amount of Mr. Carrick's time and income. This activity is unrelated to Beacon Pointe Advisors, LLC's advisory business. Beacon Pointe Advisors, LLC, does not believe it presents a conflict of interest.

### ITEM 5 - ADDITIONAL COMPENSATION

Charles Carrick is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Charles Carrick is an equity owner in the parent company of the firm. Mr. Carrick does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Charles Carrick is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

### ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Charles Carrick oversees the investment advisory services provided by Beacon Pointe Advisors' Greensboro, North Carolina office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer, at 949-718-1600.

# CHARLES GREGORY “GREG” CARRICK, CFP®, CIMA®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Charles Gregory “Greg” Carrick CFP®, CIMA®, Partner, Senior Wealth Advisor, b. 1986

### *Education:*

BS, Business Administration, University of North Carolina at Greensboro

### *Business Background:*

Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-Present

Agent, Beacon Pointe Insurance Services, LLC, 2021-Present

Investment Advisor Representative, DMJ Wealth Advisors, LLC, 2019-2021

## Professional Designations

Greg Carrick holds the following professional designations:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

### *Certified Investment Management Analyst (CIMA®)*

The CIMA® designation is issued by the Investments and Wealth Institute (formerly IMCA). To earn each certification, each CIMA® candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business (“AACSB”) accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom education program and also complete a minimum of 30 hours of continuing education every two years. More information about the CIMA® is available at <https://investmentsandwealth.org/home>.

### ITEM 3 - DISCIPLINARY INFORMATION

Greg Carrick has no disciplinary history to disclose.

### ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Greg Carrick is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Greg Carrick earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Greg Carrick may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Greg Carrick to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Greg Carrick or BPIS if they follow Mr. Carrick's recommendations.

In addition to Greg Carrick's role with Beacon Pointe Advisors, LLC, he serves as a Young Professional Board Member of Caring House in Durham, North Carolina. This activity accounts for a de minimis amount of Greg Carrick's time and he does not receive any economic benefit for his service on this Board. This activity is unrelated to Beacon Pointe Advisors, LLC's advisory business. Beacon Pointe Advisors, LLC, does not believe it presents a conflict of interest.

### ITEM 5 - ADDITIONAL COMPENSATION

Greg Carrick is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Greg Carrick is an equity owner in the parent company of the firm. Mr. Carrick does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Greg Carrick is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

### ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Jeffery Hwang, Partner, Managing Director, is generally responsible for supervising Greg Carrick's day-to-day advisory activities. Mr. Hwang can be reached by calling 336-900-7086.

# JEFFERY W. HWANG, CFP®, CRPC®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jeffery W. Hwang, CFP®, CRPC®, Partner, Managing Director, b. 1976

### *Education:*

BA, Music Composition, Davidson College

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors LLC, 2021-Present

Agent, Beacon Pointe Insurance Services, LLC, 2021-Present

Partner, DMJ Wealth Advisors, 2009-2021

## Professional Designations

Jeffery Hwang holds the following professional designations:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

### *Chartered Retirement Planning Counselor (CRPC®)*

The Chartered Retirement Planning Counselor (CRPC®) designation is a retirement planning credential awarded by the College for Financial Planning® to individuals who meet its educational, examination and ethical requirements. To earn a CRPC® designation, candidates are required to have completed the CRPC® Designation Program, a self-taught educational program focused on various pre- and post- retirement needs of individuals. Recipients are further required to have successfully passed a multiple-choice examination addressing a range of retirement-related matters, such as estate planning and asset management. On an ongoing basis, CRPC® designees are also required to affirm their adherence to the applicable Code of Ethics and complete at least 16 hours of continuing education every two years. More information about the CRPC is available at <https://www.cffp.edu/>.

### ITEM 3 - DISCIPLINARY INFORMATION

Jeffery Hwang has no disciplinary history to disclose.

### ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role at Beacon Pointe Advisors, LLC ("BPA"), Jeffery Hwang is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"), a California-licensed insurance agency, under common ownership with BPA. BPIS receives commissions on insurance products clients purchase, and Jeffery Hwang may earn a portion of these commissions.

Clients pay separate fees for advisory services and insurance products or services. In this capacity, Jeffery Hwang may offer insurance products and receive commissions from their sales. This arrangement creates an incentive for Jeffery Hwang to recommend insurance products based on the compensation received, rather than focusing solely on the client's needs. Clients are not obligated to act on any insurance recommendations or conduct transactions through Jeffery Hwang or BPIS if they follow Mr. Hwang's recommendations.

Jeffery Hwang serves as Partner for DMJ Properties, an entity which owns property which is leased by DMJ entities. Additionally, he is serving in a governing group for SEI Registered Investment Advisor Community. These activities account for a de minimis amount of Mr. Hwang's time. These activities are unrelated to Beacon Pointe Advisors, LLC's advisory business. Beacon Pointe Advisors, LLC, does not believe it presents a conflict of interest.

### ITEM 5 - ADDITIONAL COMPENSATION

Jeffery Hwang is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Jeffery Hwang is an equity owner in the parent company of the firm. Mr. Hwang does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients. The additional compensation that Jeffery Hwang is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

### ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Jeffery Hwang oversees the investment advisory services provided by Beacon Pointe Advisors' Greensboro, North Carolina office. He is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer, at 949-718-1600.

# JENNIFER R. MCCOSLEY, CFP®, CAP®, ChSNC®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jennifer R. McCosley, CFP®, CAP®, ChSNC®, Senior Wealth Advisor, b. 1986

### *Education:*

BBA, Business Administration, Campbell University

### *Business Background:*

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

VP, Senior Financial Advisor, Triad Financial Advisors, Inc., 2015-2023

## Professional Designations

Jennifer McCosley holds the following professional designations:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

### *Chartered Advisor in Philanthropy (CAP®)*

The Chartered Advisor in Philanthropy (CAP®) designation is issued by The American College of Financial Services. Candidates must have three years of full-time, relevant business experience within the five years preceding the certification. Relevant experience may include working in a non-profit organization, serving on the nonprofit board or volunteering. Candidates must complete three courses and certify compliance with The American College Code of Ethics and Procedures. Participation in the annual Professional Recertification Program (RPP) is required to maintain the designation. More information about the CAP® Program is available at <https://www.theamericancollege.edu>.

### *Chartered Special Needs Consultant (ChSNC®)*

The Chartered Special Needs Consultant (ChSNC®) certification is offered by The American College of Financial Services and signifies the expertise in financial planning for special needs individual, their families, and caregivers. With a deep understanding of the legal, education, and healthcare systems, a ChSNC® offers comprehensive advice on developing personalized plans that address a wide range of challenges. They possess specialized knowledge in special needs financial planning while helping families achieve long-term financial success through life insurance, estate planning, and healthcare management, addressing their unique goals and needs. More information regarding the ChSNC® is available at <https://www.theamericancollege.edu/learn/professional-designations-certifications/chsnc>.

### **ITEM 3 - DISCIPLINARY INFORMATION**

Jennifer McCosley has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Jennifer McCosley is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Jennifer McCosley's compensation comes from Beacon Pointe Advisors, LLC. She receives a salary and variable compensation that is based on the assets under management in the client accounts she services. Ms. McCosley does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

### **ITEM 6 - SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Charles Carrick, Partner, Managing Director, is generally responsible for supervising Jennifer McCosley's day-to-day advisory activities. Mr. Carrick can be reached by calling 336-900-7086.

# KYLE A. BROWN, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Kyle A. Brown, CFP®**, Senior Associate Wealth Advisor, b. 1989

### *Education:*

BA, Economics, University of North Carolina at Chapel Hill

BS, Business Administration, University of North Carolina at Chapel Hill

### *Business Background:*

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2026-Present

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-2026

Senior Financial Analyst, BlueCross NC, 2014-2023

## Professional Designations

Kyle Brown holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Kyle Brown has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Kyle Brown is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Kyle Brown is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Brown does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 - SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Jennifer McCosley, Senior Wealth Advisor, is generally responsible for supervising Kyle Brown's day-to-day advisory activities. Ms. McCosley can be reached by calling 919-695-9781.

# WILLIAM BRADFORD “BRAD” MANN, QPFC

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**William Bradford “Brad” Mann, QPFC**, Senior Wealth Advisor, Retirement Plan Services, b. 1963

### *Education:*

JD, Law, West Virginia University  
BA, Economic, Denison University

### *Business Background:*

Senior Wealth Advisor, Retirement Plan Services, Beacon Pointe Advisors LLC, 2021-Present  
Investment Advisor Representative, DMJ Wealth Advisors, LLC, 2019-2021  
Qualified Plan Specialist, DMJ Wealth Advisors, LLC, 2008-2021

## Professional Designations

Brad Mann holds the following professional designation:

### Qualified Plan Financial Consultant (QPFC)

Issued by the National Association of Plan Advisors, plan advisors who earn the QPFC demonstrate the expertise required to act as a plan fiduciary or help plan fiduciaries manage their roles and responsibilities. More information is available at <https://www.napa-net.org/>

## ITEM 3 - DISCIPLINARY INFORMATION

Brad Mann has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Brad Mann is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Brad Mann’s compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Mann does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## ITEM 6 - SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm’s compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Jeffery Hwang, Partner, Managing Director, is generally responsible for supervising Brad Mann's day-to-day advisory activities. Mr. Hwang can be reached by calling 336-900-7086.