



## Form ADV Part 2B Brochure Supplement

January 2026

[www.beaconpointe.com](http://www.beaconpointe.com)

**Office Locations:**

7651 Leesburg Pike  
Falls Church, VA 22043  
703-828-2479

150 South Wacker, Suite 2400  
Chicago, IL 60606  
703-828-2479

**Corporate Office:**

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the individuals listed in the Table of Contents who are supervised persons of Beacon Pointe Advisors, LLC. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the below listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Table of Contents

<b>ALEXANDRA M. MASTROTA, CFP®</b> .....	<b>3</b>
<b>CARRIE J. LEINBACH</b> .....	<b>5</b>
<b>EILEEN M. O’CONNOR, CFP®</b> .....	<b>6</b>
<b>JAMES “COLE” DELUCAS, CFP®</b> .....	<b>8</b>
<b>JENNIFER L. DAWSON, CFP®</b> .....	<b>10</b>
<b>KATHERINE “PAIGE” MABRY, CFP®</b> .....	<b>12</b>
<b>KELSEY L. WINTERS</b> .....	<b>14</b>
<b>MICHAEL F. BYMAN, CFP®</b> .....	<b>15</b>
<b>PATRICK S. BRADFORD, CFP®</b> .....	<b>17</b>
<b>STEVEN R. CHERRY, AIF®, AWMA™, CFP®</b> .....	<b>19</b>

# ALEXANDRA M. MASTROTA, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Alexandra M. Mastrotta, CFP®, Wealth Advisor, b. 1995

*Education:*

BS, Finance, Virginia Polytechnic Institute and State University

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Wealth Advisor, Hemington Wealth Management, LLC, 2021-2025

Associate Advisor, Hemington Wealth Management, LLC, 2018-2021

### Professional Designations

Alexandra Mastrotta holds the following professional designation:

*Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Alexandra Mastrotta has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Alexandra Mastrotta is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Alexandra Mastrota's compensation comes from Beacon Pointe Advisors, LLC. She receives a salary and variable compensation that is based on the assets under management in the client accounts she services. Ms. Mastrota does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Jennifer Dawson, Partner, Managing Director, is generally responsible for supervising Alexandra Mastrota's day-to-day advisory activities. Ms. Dawson can be reached by calling 703-828-2479.

# CARRIE J. LEINBACH

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Carrie J. Leinbach**, Associate Wealth Advisor, b. 1974

*Education:*

BA, Government, University of Virginia

*Business Background:*

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Associate Advisor, Hemington Wealth Management, LLC, 2022-2025

Special Projects Coordinator, Hemington Wealth Management, LLC, 2019-2023

## ITEM 3 – DISCIPLINARY INFORMATION

Carrie Leinbach has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Carrie Leinbach is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Carrie Leinbach is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, she is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients she refers to the firm. Ms. Leinbach does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## ITEM 6 – SUPERVISION

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Alexandra Mastrotta, Wealth Advisor, is generally responsible for supervising Carrie Leinbach's day-to-day advisory activities. Ms. Mastrotta can be reached by calling 703-828-2479.

# EILEEN M. O'CONNOR, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Eileen M. O'Connor, CFP®, Partner, Managing Director, b. 1966

### *Education:*

MBA, Business, Harvard University  
BS, Commerce, University of Virginia

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present  
Principal & Chief Executive Officer, Hemington Wealth Management, LLC, 2013-2026

## Professional Designations

Eileen O'Connor holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Eileen O'Connor has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Eileen O'Connor is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Eileen O'Connor is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Eileen O'Connor is an equity owner in the parent company of the firm. Ms. O'Connor does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Eileen O'Connor oversees the investment advisory services provided by Beacon Pointe Advisors' Falls Church, VA office. She is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer, at 949-718-1600.

# JAMES “COLE” DELUCAS, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

James “Cole” DeLucas, CFP®, Wealth Advisor, b. 1995

### *Education:*

BS, Finance, Virginia Polytechnic Institute and State University

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Wealth Advisor, Hemington Wealth Management, LLC, 2023-2025

Financial Planner, Yeske Buie, 2021-2023

Assistant Financial Planner, Yeske Buie, 2018-2021

## Professional Designations

Cole DeLucas holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Cole DeLucas has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Cole DeLucas is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Cole DeLucas' compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. DeLucas does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Jennifer Dawson, Partner, Managing Director, is generally responsible for supervising Cole DeLucas' day-to-day advisory activities. Ms. Dawson can be reached by calling 703-828-2479.

# JENNIFER L. DAWSON, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jennifer L. Dawson, CFP®, Partner, Managing Director, b. 1982

### *Education:*

BS, Mathematics, University of South Carolina

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2025-Present

Managing Director, Hemington Wealth Management, LLC, 2017-2025

## Professional Designations

Jennifer Dawson holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Jennifer Dawson has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Jennifer Dawson is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Jennifer Dawson is a partner of Beacon Pointe Advisors, LLC, and is compensated by the firm with a salary and variable compensation based on client assets under management. Jennifer Dawson is an equity owner in the parent company of the firm. Ms. Dawson does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Jennifer Dawson oversees the investment advisory services provided by Beacon Pointe Advisors' Chicago, IL office. She is not subject to day-to-day supervision by another individual. However, Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements and firm policies.

Questions regarding the firm's compliance program can be directed to Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer, at 949-718-1600.

# KATHERINE “PAIGE” MABRY, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Katherine “Paige” Mabry, CFP®**, Wealth Advisor, b. 1996

*Education:*

BS, Finance, Virginia Polytechnic Institute and State University

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Associate Advisor, Hemington Wealth Management, LLC, 2018-2025

### Professional Designations

Paige Mabry holds the following professional designation:

*Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Paige Mabry has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Paige Mabry is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Paige Mabry's compensation comes from Beacon Pointe Advisors, LLC. She receives a salary and variable compensation that is based on the assets under management in the client accounts she services. Ms. Mabry does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Jennifer Dawson, Partner, Managing Director, is generally responsible for supervising Paige Mabry's day-to-day advisory activities. Ms. Dawson can be reached by calling 703-828-2479.

# **KELSEY L. WINTERS**

## **ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Kelsey L. Winters**, Operations Associate, b. 2001

*Education:*

MSF, Financial Analysis, Christopher Newport University

BS, Business Marketing & Management, Christopher Newport University

*Business Background:*

Operations Associate, Beacon Pointe Advisors, LLC, 2025-Present

Portfolio Management, Hemington Wealth Management, 2024-2025

Graduate Assistant, Christopher Newport University, 2023-2024

Intern, Baltimore Washington Financial Advisors, 2023-2023

Intern, Clear Edge IT Solutions, 2022-2022

Student, Christopher Newport University, 2019-2024

## **ITEM 3 – DISCIPLINARY INFORMATION**

Kelsey Winters has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Kelsey Winters is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Kelsey Winters is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, she is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients she refers to the firm. Ms. Winters does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Bret Caling, Director of Operations, is generally responsible for supervising Kelsey Winters' day-to-day advisory activities. Mr. Caling can be reached by calling 703-828-2479.

# MICHAEL F. BYMAN, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael F. Byman, CFP®, Senior Wealth Advisor, b. 1960

### *Education:*

MBA, Finance, George Mason University

BA, Economics, University of Virginia

### *Business Background:*

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Senior Wealth Advisor, Hemington Wealth Management, LLC, 2014-Present

## Professional Designations

Michael Byman holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Michael Byman has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Byman is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Michael Byman's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Byman does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Bret Caling, Director of Operations, is generally responsible for supervising Michael Byman's day-to-day advisory activities. Mr. Caling can be reached by calling 703-828-2479.

# PATRICK S. BRADFORD, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Patrick S. Bradford, CFP®, Associate Wealth Advisor, b. 1998

### *Education:*

BA, Finance & Management, Dominican University

### *Business Background:*

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Associate Advisor, Hemington Wealth Management, LLC, 2025-2025

Support Advisor, JMG Financial Group, 2020-2024

## Professional Designations

Patrick Bradford holds the following professional designation:

### *Certified Financial Planner (CFP®)*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by the CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Patrick Bradford has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Patrick Bradford is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Patrick Bradford is a salaried employee of Beacon Pointe Advisors, LLC, and does not receive compensation based on client assets or advisory fees. However, he is eligible to receive a one-time referral bonus based on a percentage of the estimated first-year revenue generated from new clients he refers to the firm. Mr. Bradford does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

## **ITEM 6 – SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm’s compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Alexandra Mastrota, Wealth Advisor, is generally responsible for supervising Patrick Bradford’s day-to-day advisory activities. Ms. Mastrota can be reached by calling 703-828-2479.

# STEVEN R. CHERRY, AIF<sup>®</sup>, AWMA<sup>™</sup>, CFP<sup>®</sup>

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Steven R. Cherry, AIF<sup>®</sup>, AWMA<sup>™</sup>, CFP<sup>®</sup>, Wealth Advisor, b. 1993

### *Education:*

BA, Journalism & Mass Communication and Spanish, University of Iowa

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2025-Present

Wealth Advisor, Hemington Wealth Management, LLC, 2023-2025

Registered Representative, LPL Financial, LLC, 2020-2023

## Professional Designations

Steven Cherry holds the following professional designations:

### *Accredited Investment Fiduciary (AIF<sup>®</sup>)*

The AIF<sup>®</sup> designation is issued by the Center for Fiduciary Studies. To earn the designation, each AIF<sup>®</sup> candidate must complete either a web-based or capstone program, pass a final certification exam, and complete a minimum of 6 hours of continuing education per year. AIF<sup>®</sup> designees must also sign and agree to abide by a code of ethics. More information about the AIF<sup>®</sup> is available at <https://www.fi360.com/>.

### *Accredited Wealth Management Advisor (AWMA<sup>™</sup>)*

The Accredited Wealth Management Advisor, or AWMA<sup>™</sup> program, is issued by the College for Financial Planning – a Kaplan Company. The program is designed for advisors who want to better address the unique needs of high-net-worth clients. The program's one-of-a-kind curriculum contains sections dedicated to behavioral finance, working with small business owners, and succession/exit planning. Individuals who hold the AWMA<sup>™</sup> designation have completed a course of study encompassing wealth strategies, equity-based compensation plans, tax reduction alternatives, and asset protection alternatives. Additionally, individuals must pass an end-of-course examination that tests their ability to synthesize complex concepts and apply theoretical concepts to real-life situations. More information about the AWMA<sup>™</sup> is available at <https://www.kaplanfinancial.com/wealth-management>.

### *Certified Financial Planner (CFP<sup>®</sup>)*

The CERTIFIED FINANCIAL PLANNER<sup>™</sup> and CFP<sup>®</sup> (collectively, the "CFP<sup>®</sup> marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP<sup>®</sup> Board"). The CFP<sup>®</sup> certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP<sup>®</sup> certification. The CFP<sup>®</sup> is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP<sup>®</sup> certificate holders are bound by the CFP<sup>®</sup> Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP<sup>®</sup> professionals.

To earn the credential, each CFP® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP Board Registered Program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information about the CFP® is available at <http://www.cfp.net/default.asp>.

### **ITEM 3 – DISCIPLINARY INFORMATION**

Steven Cherry has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Steven Cherry is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Steven Cherry's compensation comes from Beacon Pointe Advisors, LLC. He receives a salary and variable compensation that is based on the assets under management in the client accounts he services. Mr. Cherry does not receive economic benefit from any person or entity other than Beacon Pointe Advisors, LLC, in connection with providing investment advice to clients.

### **ITEM 6 – SUPERVISION**

Beacon Pointe supervises its personnel in accordance with its Code of Ethics and written compliance policies and procedures. The firm's compliance team oversees compliance with these policies and conducts periodic reviews of advisory activities, including client communications and investment recommendations, to help ensure compliance with applicable regulatory requirements.

Jennifer Dawson, Partner, Managing Director, is generally responsible for supervising Steven Cherry's day-to-day advisory activities. Ms. Dawson can be reached by calling 703-828-2479.