



## Form ADV Part 2B Brochure Supplement

September 15, 2022

### Individuals covered by this supplement include:

Robert J. Comeaux  
Walter A. Bond  
Raymond A. Daigle  
Doug A. Tillman

Mollie B. Taylor  
Edward Ian Blanchard  
Brent J. Sheppard  
Scott A. Schneider

#### Office Location:

3000 21st Street  
Metairie, LA 70002  
(504) 309-6911

#### Corporate Office:

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# ROBERT J. COMEAUX, AIF®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Robert J. Comeaux, AIF®, Partner, Managing Director, b. 1967

### *Education:*

JD, Loyola School of Law  
BA, Journalism, Louisiana State University

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present  
Agent, Beacon Pointe Insurance Services, LLC, 2020-Present  
Registered Representative, Purshe Kaplan Sterling Investments, 2020-Present  
Partner, CBD Wealth Management, 2004-2020  
Advisor, Commonwealth Financial Network, 2015-2020

## Professional Designations

Robert Comeaux holds the following professional designation:

### *Accredited Investment Fiduciary (“AIF”)*

The AIF® designation is issued by the Center for Fiduciary Studies. To earn the designation, each AIF® candidate must complete either a web-based or capstone program, pass a final certification exam, and complete a minimum of 6 hours of continuing education per year. AIF® designees must also sign and agree to abide by a code of ethics. More information regarding the AIF® is available at <https://www.fi360.com/what-we-do/learning-development/aif-designation>.

## ITEM 3 - DISCIPLINARY INFORMATION

Robert Comeaux has no material disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Robert Comeaux is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, (“BPIS”). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Robert Comeaux is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Robert Comeaux an incentive to recommend insurance products based on the compensation received rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Robert Comeaux or BPIS if they decide to follow Mr. Comeaux’s recommendations.

Robert Comeaux spends a portion of his time as a registered representative of Purshe Kaplan Sterling Investments, a non-affiliated registered broker-dealer. In this capacity, Robert Comeaux may make security recommendations, offer investment products and/or effect securities transactions. When effecting transactions, he is eligible to receive compensation, commissions and/or trailing 12b-1 fees from Purshe Kaplan Sterling Investments for products held by BPA’s advisory clients. Receipt of this type

of transaction-related compensation is a conflict of interest as it gives Robert Comeaux an incentive to recommend investment products based on the additional compensation received. BPA will not charge advisory fees on the portion of the client's portfolio that includes investment products for which Robert Comeaux receives commissions and/or trailing 12b-1 fees. In all instances, BPA will ensure that BPA clients are provided with a disclosure that outlines the commissions and/or trailing 12b-1 fees that Robert Comeaux receives.

Robert Comeaux is also a Member of CBDQ, LLC, and a Co-Owner of Bayou Bucks, LLC, both entities are real estate holding companies. These other activities do not account for a meaningful portion of Mr. Comeaux's time or income.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Robert Comeaux's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Robert Comeaux is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

## **ITEM 6 – SUPERVISION**

As Partner, Managing Director, Robert Comeaux oversees the investment advice provided from Beacon Pointe Advisors, LLC's, Metairie, Louisiana office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer and Chief Compliance Officer is the supervisor of Robert Comeaux. She can be reached by calling 949-718-1600.

# WALTER A. BOND, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Walter A. Bond, CFP®, Partner, Managing Director, b. 1967

### *Education:*

JD, Louisiana State University, Paul M. Herbert Law Center  
BS, Finance, Louisiana State University

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present  
Agent, Beacon Pointe Insurance Services, LLC, 2020-Present  
Registered Representative, Purshe Kaplan Sterling Investments, 2020-Present  
Partner, CBD Wealth Management, 2004-2020  
Advisor, Commonwealth Financial Network, 2015-2020  
Advisor, LPL Financial, LLC, 2004-2020

## Professional Designations

Walter Bond holds the following professional designation:

### Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Walter Bond has no material disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Walter Bond is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, (“BPIS”). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase,

and Walter Bond is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Walter Bond an incentive to recommend insurance products based on the compensation received rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Walter Bond or BPIS if they decide to follow Mr. Bond's recommendations.

Walter Bond spends a portion of his time as a registered representative of Purshe Kaplan Sterling Investments, a non-affiliated registered broker-dealer. In this capacity, Walter Bond may make security recommendations, offer investment products and/or effect securities transactions. When effecting transactions, he is eligible to receive compensation, commissions and/or trailing 12b-1 fees from Purshe Kaplan Sterling Investments for products held by BPA's advisory clients. Receipt of this type of transaction-related compensation is a conflict of interest as it gives Walter Bond an incentive to recommend investment products based on the additional compensation received. BPA will not charge advisory fees on the portion of the client's portfolio that includes investment products for which Walter Bond receives commissions and/or trailing 12b-1 fees. In all instances, BPA will ensure that BPA clients are provided with a disclosure that outlines the commissions and/or trailing 12b-1 fees that Walter Bond receives.

Walter Bond is also a Member of CBDQ, LLC, a real estate holding company. This activity does not account for a meaningful portion of Mr. Bond's time or income.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Walter Bond's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Walter Bond is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

## **ITEM 6 – SUPERVISION**

As Partner, Managing Director, Walter Bond oversees the investment advice provided from Beacon Pointe Advisors, LLC's, Metairie, Louisiana office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer and Chief Compliance Officer is the supervisor of Walter Bond. She can be reached by calling 949-718-1600.

# RAYMOND A. DAIGLE, AIF®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Raymond A. Daigle, AIF®, Partner, Managing Director, b. 1967

### *Education:*

JD, Louisiana State University, Paul M. Herbert Law Center  
BS, Finance, Louisiana State University

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present  
Agent, Beacon Pointe Insurance Services, LLC, 2020-Present  
Registered Representative, Purshe Kaplan Sterling Investments, 2020-Present  
Partner, CBD Wealth Management, 2004-2020  
Advisor, Commonwealth Financial Network, 2015-2020  
Advisor, LPL Financial, LLC, 2004-2020

## Professional Designations

Raymond Daigle holds the following professional designation(s):

### Accredited Investment Fiduciary (“AIF”)

The AIF® designation is issued by the Center for Fiduciary Studies. To earn the designation, each AIF® candidate must complete either a web-based or capstone program, pass a final certification exam, and complete a minimum of 6 hours of continuing education per year. AIF® designees must also sign and agree to abide by a code of ethics. More information regarding the AIF® is available at <https://www.fi360.com/what-we-do/learning-development/aif-designation>.

## ITEM 3 - DISCIPLINARY INFORMATION

Raymond Daigle has no material disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Raymond Daigle is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, (“BPIS”). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Raymond Daigle is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Raymond Daigle an incentive to recommend insurance products based on the compensation received rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Raymond Daigle or BPIS if they decide to follow Mr. Daigle’s recommendations.

Raymond Daigle spends a portion of his time as a registered representative of Purshe Kaplan Sterling Investments, a non-affiliated registered broker-dealer. In this capacity, Raymond Daigle may make security recommendations, offer investment products and/or effect securities transactions. When effecting transactions, he is eligible to receive compensation, commissions and/or trailing 12b-1 fees

from Purshe Kaplan Sterling Investments for products held by BPA's advisory clients. Receipt of this type of transaction-related compensation is a conflict of interest as it gives Raymond Daigle an incentive to recommend investment products based on the additional compensation received. BPA will not charge advisory fees on the portion of the client's portfolio that includes investment products for which Raymond Daigle receives commissions and/or trailing 12b-1 fees. In all instances, BPA will ensure that BPA clients are provided with a disclosure that outlines the commissions and/or trailing 12b-1 fees that Raymond Daigle receives.

Raymond Daigle is also a Co-Owner of RAD Properties LLC and CBDQ, LLC, and a Manager of DDP, LLC, all real estate holding companies. These other activities do not account for a meaningful portion of Mr. Daigle's time or income.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Raymond Daigle's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Raymond Daigle is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

## **ITEM 6 – SUPERVISION**

As Partner, Managing Director, Raymond Daigle oversees the investment advice provided from Beacon Pointe Advisors, LLC's, Metairie, Louisiana office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer and Chief Compliance Officer is the supervisor of Raymond Daigle. She can be reached by calling 949-718-1600.



# DOUG A. TILLMAN

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Doug A. Tillman, Wealth Advisor, b. 1973

*Education:*

MBA, University of New Orleans

BS, Accounting, University of New Orleans

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Agent, Beacon Pointe Insurance Services, LLC, 2020-Present

Advisor, CBD Wealth Management, 2016-2020

Advisor, Commonwealth Financial Network, 2016-2020

Senior Vice President, Dealer Funding, LLC, 2013-2014

ADT Holdings LLC/Tillman Capital Management, LLC, 2008-2016

## ITEM 3 - DISCIPLINARY INFORMATION

Doug Tillman has no material disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Doug Tillman is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, ("BPIS"). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Doug Tillman is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Doug Tillman an incentive to recommend insurance products based on the compensation received rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Doug Tillman or BPIS if they decide to follow Mr. Tillman's recommendations.

Doug Tillman currently serves as President of the Christian Brothers Foundation Board. He is also Co-Owner and President of ADT Holdings, LLC, and Tillman Capital Management, LLC, both entities that previously conducted business consulting services and currently collect trailing fees from introductions made while Mr. Tillman was in an active role. These other activities do not account for a meaningful portion of Mr. Tillman's time or income.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular salary at Beacon Pointe Advisors, LLC, Doug Tillman is eligible to receive compensation based upon his activities bringing in investment advisory business. The additional compensation that Doug Tillman is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

## **ITEM 6 - SUPERVISION**

Robert Comeaux, Partner, Managing Director, is responsible for supervising Doug Tillman's activities. Robert Comeaux monitors the advice provided by Doug Tillman for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Robert Comeaux can be reached by calling 504-309-6911.

# MOLLIE B. TAYOR

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Mollie B. Taylor**, Associate Wealth Advisor, b. 1988

*Education:*

BA, Economics, Loyola University

*Business Background:*

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Agent, Beacon Pointe Insurance Services, LLC, 2020-Present

Advisor, Commonwealth Financial Network, 2018-2020

Advisor, CBD Wealth Management, 2018-2020

Capital One Investing, 2015-2018

## ITEM 3 - DISCIPLINARY INFORMATION

Mollie Taylor has no material disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role with Beacon Pointe Advisors, LLC, Mollie Taylor is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, ("BPIS"). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Mollie Taylor is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Mollie Taylor an incentive to recommend insurance products based on the compensation received rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Mollie Taylor or BPIS if they decide to follow Ms. Taylor's recommendations.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular salary at Beacon Pointe Advisors, LLC, Mollie Taylor is eligible to receive compensation based upon her activities bringing in investment advisory business. The additional compensation that Mollie Taylor is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

## ITEM 6 - SUPERVISION

Robert Comeaux, Partner, Managing Director, is responsible for supervising Mollie Taylor's activities. Robert Comeaux monitors the advice provided by Mollie Taylor for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Robert Comeaux can be reached by calling 504-309-6911.

# EDWARD “IAN” BLANCHARD, AIF®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Edward “Ian” Blanchard, AIF®, Wealth Advisor, b. 1985

### *Education:*

BA, Economics, Louisiana State University

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC., 2020-Present

Agent, Beacon Pointe Insurance Services, LLC, 2020-Present

Advisor, Commonwealth Financial Network, 2015-2020

Financial Representative, CBD Wealth Management, 2015-2020

Advisor, LPL Financial LLC, 2011-2015

## Professional Designations

Ian Blanchard holds the following professional designation:

### *Accredited Investment Fiduciary (“AIF”)*

The AIF® designation is issued by the Center for Fiduciary Studies. To earn the designation, each AIF® candidate must complete either a web-based or capstone program, pass a final certification exam, and complete a minimum of 6 hours of continuing education per year. AIF® designees must also sign and agree to abide by a code of ethics. More information regarding the AIF® is available at <https://www.fi360.com/what-we-do/learning-development/aif-designation>.

## ITEM 3 - DISCIPLINARY INFORMATION

Ian Blanchard has no material disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Ian Blanchard is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, (“BPIS”). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Ian Blanchard is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Ian Blanchard an incentive to recommend insurance products based on the compensation received rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Ian Blanchard or BPIS if they decide to follow Mr. Blanchard’s recommendations.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular salary at Beacon Pointe Advisors, LLC, Ian Blanchard is eligible to receive compensation based upon his activities bringing in investment advisory business. The additional compensation that Ian Blanchard is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

## **ITEM 6 - SUPERVISION**

Robert Comeaux, Partner, Managing Director, is responsible for supervising Ian Blanchard's activities. Robert Comeaux monitors the advice provided by Ian Blanchard for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Robert Comeaux can be reached by calling 504-309-6911.

# BRENT J. SHEPPARD, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Brent J. Sheppard, CFP®**, Wealth Advisor, b. 1977

### *Education:*

LL.M, Master of Laws, Taxation, Tax, Boston University School of Law  
JD, Juris Doctor, Louisiana State University, Paul M. Herbert Law Center  
BA, Economics, Louisiana State University

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-Present  
Trust Officer and Wealth Advisor, Hancock Whitney, 2015-2021  
Trust Officer, Capital One, 2008-2015

## Professional Designations

Brent Sheppard holds the following professional designation:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Brent Sheppard has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Brent Sheppard is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Brent Sheppard is eligible to receive compensation for referring new clients to Beacon Pointe Advisors, LLC.

Brent Sheppard does not receive economic benefits from any person or entity other than Beacon Pointe in connection with the provision of investment advice to clients.

## **ITEM 6 - SUPERVISION**

Raymond Daigle, Partner, Managing Director, is responsible for supervising Brent Sheppard's activities. Raymond Daigle monitors the advice provided by Brent Sheppard for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Raymond Daigle can be reached by calling 504-309-6911.

# SCOTT A. SCHNEIDER, CFP®, CLU

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Scott A. Schneider, CFP®, CLU, Wealth Advisor, b. 1983

### *Education:*

BS, Business Management, University of New Orleans

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2022-Present

Associate Agent, Michel M Legrand, Northwestern Mutual Investment Services, LLC, 2016-2022

Representative, Northwestern Mutual Wealth Management Company, 2016-2022

Registered Representative, Northwestern Mutual Investment Services, LLC, 2016-2022

Wealth Management Advisor, Northwestern Mutual Investment Services, LLC, 2016-2022

Wealth Management Advisor, TIAA-CREF, 2014-2016

Registered Representative, TIAA-CREF Individual & Institutional Services, LLC, 2014-2016

Representative, Northwestern Mutual Wealth Management Company, 2014-2014

Wealth Management Advisor, Northwestern Mutual Investment Services, LLC, 2011-2014

## Professional Designations

Scott Schneider holds the following professional designations:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

### *Chartered Life Underwriter*

The CLU designation is conferred by The American College. To earn the credential, each CLU candidate must take a proctored exam for each course of study, have 3 years of full-time business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours of



continuing education every two years. More information regarding the CLU can be found at <http://www.cluhigheststandard.com/>.

### **ITEM 3 - DISCIPLINARY INFORMATION**

Scott Schneider has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

In addition to his role with Beacon Pointe Advisors, LLC, Scott Schneider is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, (“BPIS”). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Scott Schneider is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Scott Schneider an incentive to recommend insurance products based on the compensation received rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Scott Schneider or BPIS if they decide to follow Mr. Schneider’s recommendations.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Scott Schneider’s compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. In addition to his regular compensation from Beacon Pointe Advisors, LLC, Scott Schneider is eligible to receive compensation from the activities outlined above in **Item 4 – Other Business Activities**.

Scott Schneider does not receive economic benefits from any person or entity other than Beacon Pointe in connection with the provision of investment advice to clients.

### **ITEM 6 - SUPERVISION**

Raymond Daigle, Partner, Managing Director, is responsible for supervising Scott Schneider’s activities. Raymond Daigle monitors the advice provided by Scott Schneider for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Raymond Daigle can be reached by calling 504-309-6911.