



## Form ADV Part 2B Brochure Supplement

June 12, 2024

### Individuals covered by this supplement include:

Michael R. Beck  
Michael H. Kane  
Leslie J. Reynoso

Alexander W. Pierce  
Bryan C. Jackson  
Emerald E. Ward

#### Office Location:

5960 Berkshire Lane, Suite 1150  
Dallas, TX 75225  
(214) 954-1900

#### Corporate Office:

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Table of Contents

<b>MICHAEL R. BECK</b> .....	<b>3</b>
<b>MICHAEL H. KANE, CFA</b> .....	<b>4</b>
<b>LESLIE J. REYNOSO, CFA</b> .....	<b>6</b>
<b>ALEXANDER W. PIERCE</b> .....	<b>8</b>
<b>BRYAN C. JACKSON, CFP®</b> .....	<b>9</b>
<b>EMERALD E. WARD, CFP®</b> .....	<b>11</b>

# MICHAEL R. BECK

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Michael R. Beck**, Partner, Managing Director, b. 1959

*Education:*

BA, Economics and Business Administration, Vanderbilt University

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present

Managing Director, Portfolio Manager, Wealthstreet Investment Advisors, LLC, 2017-2021

Executive Vice President, Portfolio Manager, Marketing Manager, Gerald L. Ray & Associates, Ltd., 1999-2017

## ITEM 3 - DISCIPLINARY INFORMATION

Michael Beck has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Beck is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Michael Beck's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

## ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Michael Beck oversees the investment advice provided from Beacon Pointe Advisors' Dallas, TX, office. His advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Michael Beck. She can be reached by calling 949-718-1600.

# MICHAEL H. KANE, CFA

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Michael H. Kane, CFA**, Partner, Managing Director, b. 1964

*Education:*

MBA, Southern Methodist University  
BS, Finance, Babson College

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present  
Managing Director, Portfolio Manager, Wealthstreet Investment Advisors, LLC, 2017-2021  
Executive Vice President, Gerald L. Ray & Associates, Ltd., 2009-2017

### Professional Designations

Michael Kane holds the following professional designation:

*Chartered Financial Analyst*

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org/>

## ITEM 3 - DISCIPLINARY INFORMATION

Michael Kane has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Michael Kane serves as a member on the Investment Committee for the Dallas Jewish Community Foundation, and as a trustee on the Board of Trustees for Legacy Senior Communities. He receives no compensation from serving in this capacity. These other business activities account for less than 10% of Mr. Kane’s time.

## ITEM 5 - ADDITIONAL COMPENSATION

Michael Kane’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

## ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Michael Kane oversees the investment advice provided from Beacon Pointe Advisors' Dallas, TX, office. His advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Michael Kane. She can be reached by calling 949-718-1600.

# LESLIE J. REYNOSO, CFA

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Leslie J. Reynoso, CFA, Partner, Senior Wealth Advisor, b. 1989

### *Education:*

BBA, Finance, Southern Methodist University

BA, Spanish, Southern Methodist University

### *Business Background:*

Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-Present

Wealth Management & 401(K) Advisor, Wealthstreet Investment Advisors, LLC, 2017-2021

Wealth Management & 401(K) Advisor Gerald L. Ray & Associates, Ltd., 2011-2017

## Professional Designations

Leslie Reynoso holds the following professional designation:

### *Chartered Financial Analyst*

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org/>

## ITEM 3 - DISCIPLINARY INFORMATION

Leslie Reynoso has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Leslie Reynoso is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation and ownership of Beacon Pointe Advisors, LLC, Leslie Reynoso is eligible to receive variable compensation based on the number of clients/assets she brings into Beacon Pointe.

## **ITEM 6 – SUPERVISION**

Michael Beck, Partner, Managing Director, is responsible for overseeing Leslie Reynoso’s activities. Michael Beck monitors the advice provided by Leslie Reynoso for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Michael Beck can be reached by calling 214-954-1900.

# ALEXANDER W. PIERCE

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Alexander W. Pierce**, Partner, Senior Wealth Advisor, b. 1956

*Education:*

BBA, Finance, Southern Methodist University

*Business Background:*

Partner, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Portfolio Manager, Oxbow Advisors, LLC, 2011-2023

Registered Representative, Herndon Plant Oakley, LTD, 2010-2015

## ITEM 3 - DISCIPLINARY INFORMATION

Alexander Pierce has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Alexander Pierce is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation and ownership of Beacon Pointe Advisors, LLC, Alexander Pierce is eligible to receive variable compensation based on the number of clients/assets he brings into Beacon Pointe.

## ITEM 6 – SUPERVISION

Michael Beck, Partner, Managing Director, is responsible for overseeing Alexander Pierce's activities. Michael Beck monitors the advice provided by Alexander Pierce for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Michael Beck can be reached by calling 214-954-1900.



# BRYAN C. JACKSON, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Bryan C. Jackson, CFP®**, Senior Wealth Advisor, b. 1974

*Education:*

BBS, Business Management, James Madison University

*Business Background:*

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2022-Present

Registered Representative, Purshe Kaplan Sterling Investments, 2023-Present

Investment Advisor Representative, VisionPoint Advisory Group, 2016-2022

Registered Representative, LPL Financial, LLC, 2016-2022

Investment Advisor Representative, Harbor Capital Management, 2012-2016

### Professional Designations

Bryan Jackson holds the following professional designation:

*Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Bryan Jackson has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Bryan Jackson spends a portion of his time as a registered representative of Purshe Kaplan Sterling Investments, a non-affiliated registered broker-dealer. In this capacity, Bryan Jackson may make security recommendations, offer investment products and/or effect securities transactions. When effecting

transactions, he is eligible to receive compensation, commissions and/or trailing 12b-1 fees from Purshe Kaplan Sterling Investments for products held by BPA's advisory clients. Receipt of this type of transaction-related compensation is a conflict of interest as it gives Bryan Jackson an incentive to recommend investment products based on the additional compensation received. BPA will not charge advisory fees on the portion of the client's portfolio that includes investment products for which Bryan Jackson receives commissions and/or trailing 12b-1 fees. In all instances, BPA will ensure that BPA clients are provided with a disclosure that outlines the commissions and/or trailing 12b-1 fees that Bryan Jackson receives.

In addition to his role with Beacon Pointe Advisors, LLC, Bryan Jackson owns a consulting company entitled Ellison Christopher, LLC. He receives compensation as an employee benefits consultant specializing in health benefits. This other business activity accounts for less than 10% of Mr. Jackson's time.

## **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Bryan Jackson is eligible to receive compensation from the activities outlined above in **Item 4 – Other Business Activities**. Bryan Jackson receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe Advisors, LLC.

## **ITEM 6 – SUPERVISION**

Michael Beck, Partner, Managing Director, is responsible for overseeing Bryan Jackson's activities. Michael Beck monitors the advice provided by Bryan Jackson for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Michael Beck can be reached by calling 214-954-1900.

# EMERALD E. WARD, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Emerald E. Ward, CFP®**, Senior Financial Planner, b. 1994

### *Education:*

MS, Personal Financial Planning, Texas Tech University

### *Business Background:*

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Associate Financial Planner, SFMG Wealth Advisors, 2021-2023

Senior Financial Planner, Plancorp LLC, 2020-2021

Financial Planning Associate, Amicus Financial Advisors, 2019-2020

Planning Associate, Ameriprise Financial Services, 2017-2018

## Professional Designations

Emerald Ward holds the following professional designation:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Emerald Ward has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Emerald Ward is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Emerald Ward is eligible to receive compensation for referring new clients to Beacon Pointe.

## **ITEM 6 – SUPERVISION**

Michael Beck, Partner, Managing Director, is responsible for overseeing Emerald Ward’s activities. Michael Beck monitors the advice provided by Emerald Ward for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Emerald Ward can be reached by calling 214-954-1900.