



Form ADV Part 2B Brochure Supplement

March 27, 2023

Individuals covered by this supplement include:

Helen K. Goldstein

Office Location:

8900 Keystone Crossing, Suite 610
Indianapolis, IN 46240
(317) 218-3848

Corporate Office:

24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
(949) 718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Helen K. Goldstein, CFP®, Partner, Managing Director, b. 1961

Education:

BS, Economics, Indiana University

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2022-Present
Registered Representative, Purshe Kaplan Sterling Investments, 2022-Present
President and CEO, Goldstein Group Financial Advisors, LLC, 2010-2022
Registered Representative, Commonwealth Financial Network, 2010-2022

Professional Designations

Helen Goldstein holds the following professional designation:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Helen Goldstein has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role with Beacon Pointe Advisors, LLC, Helen Goldstein is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Helen Goldstein is eligible to receive a portion of these commissions. Clients pay separate fees for

advisory services and insurance products or services. This practice gives Helen Goldstein an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Helen Goldstein or BPIS if they decide to follow Ms. Goldstein's recommendations.

In addition to her role with Beacon Pointe Advisors, LLC, Helen Goldstein spends a portion of her time as a registered representative of Purshe Kaplan Sterling Investments, a non-affiliated registered broker-dealer. In this capacity, Helen Goldstein may make security recommendations, offer investment products and/or effect securities transactions. When effecting transactions, she is eligible to receive compensation, commissions and/or trailing 12b-1 fees from Purshe Kaplan Sterling Investments, Inc. for products held by BPA's advisory clients. Receipt of this type of transaction-related compensation is a conflict of interest as it gives Helen Goldstein an incentive to recommend investment products based on the additional compensation received. BPA will not charge advisory fees on the portion of the client's portfolio that includes investment products for which Helen Goldstein receives commissions and/or trailing 12b-1 fees. In all instances, BPA will ensure that BPA clients are provided with a disclosure that outlines the commissions and/or trailing 12b-1 fees that Helen Goldstein receives.

Helen Goldstein serves as the Vice President of the Finance/Endowment Committees for the Greater Indianapolis Investment Committee. She also serves as an honorary board member for the Jewish Community Center ("JCC") Indianapolis and was a past president.

ITEM 5 - ADDITIONAL COMPENSATION

Helen Goldstein's compensation comes from her regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Helen Goldstein is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

Helen Goldstein does not receive economic benefits from any person or entity other than Beacon Pointe in connection with the provision of investment advice to clients.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Helen Goldstein oversees the investment advice provided from Beacon Pointe Advisors' Indianapolis, IN, office. Her advice is not monitored by any other individual. She is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer and Chief Compliance Officer is the supervisor of Helen Goldstein. She can be reached by calling 949-718-1600.



Privacy Notice

(as required by SEC Regulation S-P)

At Beacon Pointe Advisors, LLC, we understand that privacy is an important concern of our customers. The nature of our business requires that we collect nonpublic personal information about you. Nonpublic personal information is personally identifiable financial information including, for example, your social security number, date of birth, income, account number and balance, account transaction history, and other financial information. We collect nonpublic personal information from the following sources:

- Information we receive about you in various agreements, applications, account opening documentation, and other forms and paperwork that pertains to your relationship with us,
- Information about your transactions with us and our service providers, or others,
- Information that you give us orally.

All financial companies need to share customers' personal information to run their everyday business. We disclose nonpublic personal information to our affiliated firms and to nonaffiliated third parties (including, but not limited to, our consultants, custodians, money managers, brokers, customer relationship management and financial planning software providers) as required or permitted by law and as needed to fulfill our compliance obligations and/or for us to best provide those products and services that we have agreed to provide to you.

We restrict access to your personal and account information to those employees who need to know that information in order to provide products and services to you. We maintain physical, electronic, and procedural safeguards to protect your nonpublic information.

The privacy policies and practices described in this notice will be maintained in the event you become an inactive customer or decide to close your account.

If, at any time in the future, it is necessary to disclose any of your personal information in a way that differs with this policy, we will give you advance notice of the proposed change and an opportunity to decline such disclosure.

Beacon Pointe Advisors is committed to protecting the confidentiality of your personal information. Let us know if you have any questions by contacting us at (949) 718-1600.