



## Form ADV Part 2B Brochure Supplement

October 26, 2023

### Individuals covered by this supplement include:

Laine R. Ainsworth	Bernard P. McGloin
Mark L. Moehlman	Lauren C. Meixel
James F. Davenport	Carolyn R. Smith
Darin A. Simonian	Nichole M. Diaz
Joshua A. C. Rummonds	

### Office Locations:

610 Newport Center Drive, Suite 280  
Newport Beach, CA 92660  
949-720-9980

3610 Central Avenue, Suite 400  
Riverside, CA 92506  
951-328-0894

5260 N. Palm Avenue, Suite 207  
Fresno, CA 93704  
559-540-2416

### Corporate Office:

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC, brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# LAIN R. AINSWORTH, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Laine R. Ainsworth, CFP®, Partner, Managing Director, b. 1951

### *Education:*

BA, Economics, University of California, Los Angeles

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2016-2020

Managing Director, Partner, Beacon Pointe Wealth Advisors, LLC, 2013-2020

## Professional Designation

Laine Ainsworth holds the following professional designation:

### Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by the Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree, or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Laine Ainsworth has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Laine Ainsworth is a member of the Dean’s Leadership Circle for the University of California at Irvine Paul Merage School of Business and an Executive Committee Member of the Center for Investment and Wealth Management (CIWM) at UC Irvine. He also serves as a member of the Hoag Hospital Diplomat Society and the Chartered Financial Analyst Society of Orange County.

## ITEM 5 - ADDITIONAL COMPENSATION

Laine Ainsworth's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Laine Ainsworth is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

## ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Laine Ainsworth oversees the investment advice provided from Beacon Pointe's Newport Beach, Riverside, and Fresno offices. His advice is not monitored by another individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Laine Ainsworth is supervised by Shannon Eusey, Chief Executive Officer and Chief Compliance Officer. She can be reached by calling 949-718-1600.

# MARK L. MOEHLMAN

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Mark L. Moehlman**, Partner, Managing Director, b. 1945

*Education:*

MBA, University of Southern California, Marshall School of Business  
BS, Business, University of Southern California, Marshall School of Business

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present  
Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2016-2020  
Managing Director, Partner, Beacon Pointe Wealth Advisors, LLC, 2013-2020

## ITEM 3 - DISCIPLINARY INFORMATION

Mark Moehlman has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Mark Moehlman serves on the advisory board of the Center for Investment and Wealth Management (CIWM) at the University of California at Irvine Paul Merage School of Business, and the Women Investing in Security and Education (WISE), a non-profit organization of the University of California, Irvine that seeks to facilitate financial education for women.

Mark Moehlman is also the President and sole owner of Wealth Management Corporation (“WMC”), a business management company. This activity accounts for a de minimis amount of Mr. Moehlman’s time and income. Beacon Pointe Advisors, LLC does not believe it presents a conflict of interest.

## ITEM 5 - ADDITIONAL COMPENSATION

Mark Moehlman’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Mark Moehlman is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

## ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Mark Moehlman oversees the investment advice provided from Beacon Pointe’s Newport Beach, Riverside, and Fresno offices. His advice is not monitored by another individual. He is, however, bound by Beacon Pointe’s Code of Ethics.

Mark Moehlman is supervised by Shannon Eusey, Chief Executive Officer and Chief Compliance Officer. She can be reached by calling 949-718-1600.

# JAMES F. DAVENPORT

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**James F. Davenport**, Partner, Managing Director, b. 1981

*Education:*

MBA, Finance, Chapman University, The George Argyros School of Business and Economics  
BA, Communications, University of Southern California, Annenberg School of Communication

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present  
Agent, Beacon Pointe Insurance Services, LLC, 2015-Present  
Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2016-2020  
Managing Director, Partner, Beacon Pointe Wealth Advisors, LLC, 2014-2020

## ITEM 3 - DISCIPLINARY INFORMATION

James Davenport has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, James Davenport is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and James Davenport is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives James Davenport an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through James Davenport or BPIS if they decide to follow Mr. Davenport’s recommendations.

James Davenport also serves on the advisory board for Big Brothers Big Sisters, Inland Empire and is a member of both the Endowment Council Chapman University and Leaders, Executives, and Directors, Advancing Philanthropy (LEAD-OC). Mr. Davenport is not compensated for these activities, and they account for a de minimis amount of his time.

## ITEM 5 - ADDITIONAL COMPENSATION

James Davenport’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that James Davenport is eligible to receive is outlined above in *Item 4 – Other Business Activities*.

## ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, James Davenport oversees the investment advice provided from Beacon Pointe's Newport Beach, Riverside, and Fresno offices. His advice is not monitored by another individual. He is, however, bound by Beacon Pointe's Code of Ethics.

James Davenport is supervised by Shannon Eusey, Chief Executive Officer and Chief Compliance Officer. She can be reached by calling 949-718-1600.

# DARIN A. SIMONIAN, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Darin A. Simonian, CFP®, Partner, Managing Director, b. 1964

*Education:*

BA, Business and Accounting, Whittier College

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2022-Present

Founder and Chief Executive Officer, Newport Wealth Strategies, Inc., 2011-2021

Chief Compliance Officer, Newport Wealth Strategies, Inc., 2011-2021

President, Alpha Cubed Investments, LLC, 2015-2021

### Professional Designation

Darin Simonian holds the following professional designation:

*Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by the Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree, or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Darin Simonian has no material disciplinary history requiring disclosure under this item. Please see [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) for additional information about Darin Simonian.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Darin Simonian is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.



## **ITEM 5 - ADDITIONAL COMPENSATION**

Darin Simonian's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

## **ITEM 6 - SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Darin Simonian oversees the investment advice provided from Beacon Pointe's Newport Beach, Riverside, and Fresno offices. His advice is not monitored by another individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Darin Simonian is supervised by Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer. She can be reached by calling 949-718-1600.

# BERNARD P. MCGLOIN, CFA®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Bernard P. McGloin, CFA®**, Partner, Managing Director, b. 1977

*Education:*

BBS, Business Studies, Dublin City University

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2023-Present

Managing Director, BNY Mellon Wealth Management, 2003-2023

### Professional Designation

Bernard McGloin holds the following professional designation:

*Chartered Financial Analyst*

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org/>

## ITEM 3 - DISCIPLINARY INFORMATION

Bernard McGloin has no material disciplinary history requiring disclosure under this item. Please see [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) for additional information about Bernard McGloin.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Bernard McGloin is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Bernard McGloin’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

## ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Bernard McGloin oversees the investment advice provided from Beacon Pointe's Newport Beach, Riverside, and Fresno offices. His advice is not monitored by another individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Bernard McGloin is supervised by Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer. She can be reached by calling 949-718-1600.

# JOSHUA A.C. RUMMONDS

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Joshua A.C. Rummonds**, Wealth Advisor, b. 1980

### *Education:*

JD, Thomas Jefferson School of Law

BA, Political Science and Government, University of Southern California

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Agent, Beacon Pointe Insurance Services, LLC, 2018-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2018-2020

Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2018-2020

Financial Representative, Principal Financial Group, 2016-2018

## ITEM 3 - DISCIPLINARY INFORMATION

Joshua Rummonds has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Joshua Rummonds is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Joshua Rummonds is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Joshua Rummonds an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Joshua Rummonds or BPIS if they decide to follow Mr. Rummonds’ recommendations.

## ITEM 5 - ADDITIONAL COMPENSATION

Joshua Rummonds’ compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. The additional compensation that Joshua Rummonds is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

## ITEM 6 - SUPERVISION

Lauren Meixel, Director of Operations, Wealth Advisor, is responsible for supervising Joshua Rummonds’ activities. Lauren Meixel monitors the advice provided by Joshua Rummonds for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. She can be reached by calling 949-720-9980.

# LAUREN C. MEIXEL

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Lauren C. Meixel**, Director of Operations, Wealth Advisor b. 1984

*Education:*

BS, Finance, California State University, Fullerton

*Business Background:*

Director of Operations, Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Manager, Operations, Beacon Pointe Advisors, LLC, 2020-2023

Manager, Operations, Beacon Wealth Advisors, LLC, 2016-2020

Financial Representative, Fidelity Investments, 2012-2016

## ITEM 3 - DISCIPLINARY INFORMATION

Lauren C. Meixel has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Lauren Meixel is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Lauren Meixel is eligible to receive compensation for referring new clients to Beacon Pointe Advisors, LLC.

## ITEM 6 - SUPERVISION

James Davenport, Partner, Managing Director, is responsible for supervising Lauren Meixel's activities. James Davenport monitors the advice provided by Lauren Meixel for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. He can be reached by calling 949-720-9980.

# CAROLYN R. SMITH

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Carolyn R. Smith**, Manager, Client Service, b. 1956

*Education:*

BA, Psychology, Wittenberg University, Springfield, OH

*Business Background:*

Manager, Client Service, Beacon Pointe Advisors, LLC, 2020-Present

Manager, Client Service, Beacon Pointe Wealth Advisors, LLC, 2013-2020

## ITEM 3 - DISCIPLINARY INFORMATION

Carolyn Smith has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Carolyn Smith is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Carolyn Smith is eligible to receive compensation for referring new clients to Beacon Pointe Advisors, LLC.

## ITEM 6 - SUPERVISION

Lauren Meixel, Director of Operations, Wealth Advisor, is responsible for Supervising Carolyn Smith's activities. Lauren Meixel monitors the advice provided by Carolyn Smith for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. She can be reached by calling 949-720-9980.

# NICHOLE M. DIAZ

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Nichole M. Diaz**, Operations Manager, b. 1987

*Education:*

MBA, University of California Riverside, A. Gary Anderson Graduate School of Management  
BS, Financial Economics, University of California, Riverside

*Business Background:*

Operations Manager, Beacon Pointe Advisors, LLC, 2023-Present  
Relationship Manager, Beacon Pointe Advisors, LLC, 2020-2023  
Senior Associate, Client Service, Beacon Pointe Wealth Advisors, LLC, 2013-2020

## ITEM 3 - DISCIPLINARY INFORMATION

Nichole Diaz has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Nichole Diaz is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Nichole Diaz is eligible to receive compensation for referring new clients to Beacon Pointe Advisors, LLC.

## ITEM 6 - SUPERVISION

Lauren Meixel, Director of Operations, Wealth Advisor, is responsible for supervising Nichole Diaz's activities. Lauren monitors the advice provided by Nichole Diaz for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Lauren Meixel can be reached by calling 949-720-9980.