



## Form ADV Part 2B Brochure Supplement

March 27, 2024

### Individuals covered by this supplement include:

John P. Keeney  
Kristian I. Albornoz  
Donald W. Ferguson

Zachary O. Boleyn  
Christina L. White

#### Office Location:

6811 Benjamin Franklin Drive  
Suite 100  
Columbia, MD 21046  
410-730-7933

#### Corporate Office:

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
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This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# JOHN P. KEENEY, CPWA®, CRPC®, CRPS®

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John P. Keeney, CPWA®, CRPC®, CRPS®, Partner, Managing Director, b. 1965

### *Education:*

BA, Political Science, Moravian University

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2024-Present

Agent, Beacon Pointe Insurance Services, LLC, 2024-Present

President, Keeney Financial Group Inc., 2015-2024

Registered Representative, Triad Advisors, Inc., 2015-2024

Registered Representative, LPL Financial LLC, 2001-2015

## Professional Designations

John Keeney holds the following professional designation(s):

### *Certified Private Wealth Advisor*

The Certified Private Wealth Advisor (CPWA®) designation is issued by the Investments and Wealth Institute (formerly IMCA). The CPWA® designation signifies that an individual has met initial and on-going experience, ethical, education, and examination requirements for the professional designation, which is centered on management topics and strategies for high-net-worth clients. Prerequisites for the CPWA® designation are a Bachelor's degree from an accredited college or university or one of the following designations or licenses: CIMA®, RMA®, CFA®, CFP®, ChFC® or CPA license; acceptable regulatory history as evidenced by FINRA Form U-4 or other regulatory requirements; five years of professional client-centered experience in financial services or a related industry; and successfully complete a comprehensive background check. CPWA® designees must complete a six-month pre-class educational component and a five-day classroom education program through The University of Chicago Booth School of Business. CPWA® designees are required to adhere to the Investments & Wealth Institute's *Code of Professional Responsibility* and the appropriate use of certification marks. CPWA® designees must report 40 hours of continuing education credits, including two ethics hours, every two years to maintain the certification. More information regarding the CPWA® is available at <https://investmentsandwealth.org/home>.

### *Chartered Retirement Planning Counselor*

The Chartered Retirement Planning Counselor (CRPC®) designation is a retirement planning credential awarded by the College for Financial Planning® to individuals who meet its educational, examination and ethical requirements. To earn a CRPC® designation, candidates are required to have completed the CRPC® Designation Program, a self-taught educational program focused on various pre- and post- retirement needs of individuals. Recipients are further required to have successfully passed a multiple-choice examination addressing a range of retirement-related matters, such as estate planning and asset management. On an ongoing basis, CRPC® designees are also required to affirm their adherence to the

applicable Code of Ethics and complete at least 16 hours of continuing education every two years. More information about the CRPC is available at <https://www.cffp.edu/>.

### Chartered Retirement Plans Specialist

The Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS<sup>®</sup>) is a credential for those who create, implement, and maintain retirement plans for businesses. Unlike most other professional financial planning and advisory professional designations, the CRPS<sup>®</sup> focuses on wholesale and business clients. It is awarded by the College for Financial Planning to individuals who pass an exam demonstrating their expertise. Every two years, CRPS<sup>®</sup> professionals must complete 16 hours of continuing education and pay a nominal fee to continue using the designation. More information regarding the CRPS<sup>®</sup> is available at <https://www.kaplanfinancial.com/wealth-management/crps>

## ITEM 3 – DISCIPLINARY INFORMATION

John Keeney has no disciplinary history to disclose.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, John Keeney is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and John Keeney is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives John Keeney an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through John Keeney or BPIS if they decide to follow Mr. Keeney’s recommendations.

## ITEM 5 – ADDITIONAL COMPENSATION

John Keeney’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that John Keeney is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

## ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, John Keeney oversees the investment advice provided from Beacon Pointe Advisors’ Columbia, MD’s office. His advice is not monitored by any other individual. He is, however, bound by Beacon Pointe’s Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of John Keeney. She can be reached by calling 949-718-1600.

# KRISTIAN I. ALBORNOZ, CPWA®, CRPC®

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Kristian I. Albornoz, CFP®, CIMA®, Principle, Senior Wealth Advisor, b. 1989

### *Education:*

BS, Business Administration, Elon University

### *Business Background:*

Principle, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Agent, Beacon Pointe Insurance Services, LLC, 2024-Present

Advisor, Keeney Financial Group Inc., 2015-2024

Registered Representative, Triad Advisors, Inc., 2015-2024

Advisor, LPL Financial LLC, 2012-2015

## Professional Designations

Kristian Albornoz holds the following professional designation:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

### *Certified Investment Management Analyst (“CIMA”)*

The CIMA designation is issued by the Investments and Wealth Institute (formerly IMCA). To earn each certification, each CIMA candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business (“AACSB”) accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom education program and also complete a minimum of 30 hours of continuing education every two years. More information regarding the CIMA is available at <https://investmentsandwealth.org/home>.

### ITEM 3 – DISCIPLINARY INFORMATION

Kristian Albornoz has no disciplinary history to disclose.

### ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Kristian Albornoz is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Kristian Albornoz is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Kristian Albornoz an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Kristian Albornoz or BPIS if they decide to follow Mr. Albornoz’s recommendations.

### ITEM 5 – ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Kristian Albornoz is eligible to receive compensation from the activities outlined above in **Item 4 – Other Business Activities**. Kristian Albornoz is also eligible to receive carriable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

### ITEM 6 – SUPERVISION

John P. Keeney, Partner, Managing Director, is responsible for supervising Kristian Albornoz’s activities. John P. Keeney monitors the advice provided by Kristian Albornoz for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. John P. Keeney can be reached by calling 410-730-7933.

# DONALD W. FERGUSON, CIS®

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Donald W. Ferguson, CIS®, Principle, Senior Wealth Advisor, b. 1965

### *Education:*

BS, Business Administration, University of Baltimore

### *Business Background:*

Principle, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Agent, Beacon Pointe Insurance Services, LLC, 2024-Present

Advisor, Keeney Financial Group Inc., 2015-2024

Registered Representative, Triad Advisors, Inc., 2015-2024

Advisor, LPL Financial LLC, 2001-2015

Registered Representative, Royal Alliance Associates, Inc., 1998-2001

## Professional Designations

Donald Ferguson holds the following professional designation:

### *Certified Income Specialist (CIS™)*

The Certified Income Specialist (CIS™) professional designation is offered by the Institute of Business & Finance (IBF). Individuals with this professional designation have honed their ability to help clients accumulate wealth and have mastered the specialized expertise in wealth distribution and management that is crucial to each client's financial future. CIS™ designees has the knowledge and offers specialized guidance on retirement planning, cash reserves and FDIC coverage, closed-end funds, utilities, bonds, and preferred shares. More information regarding the CIS™ is available at <https://icfs.com/>.

## ITEM 3 – DISCIPLINARY INFORMATION

Donald Ferguson has no disciplinary history to disclose.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Donald Ferguson is a licensed insurance agent for Beacon Pointe Insurance Services, LLC ("BPIS"). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Donald Ferguson is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Donald Ferguson an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Donald Ferguson or BPIS if they decide to follow Mr. Ferguson's recommendations.

## ITEM 5 – ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Donald Ferguson is eligible to receive compensation from the activities outlined above in **Item 4 – Other Business Activities**. Donald Ferguson is also eligible to receive carriable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

## ITEM 6 – SUPERVISION

John P. Keeney, Partner, Managing Director, is responsible for supervising Donald Ferguson’s activities. John P. Keeney monitors the advice provided by Donald Ferguson for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. John P. Keeney can be reached by calling 410-730-7933.



# ZACHARY O. BOLEYN, CFP®

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Zachary W. Boleyn, CFP®, Wealth Advisor, b. 1988

### *Education:*

BS, Banking, Corporate, Finance, and Securities Law, Clemson University

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present  
Agent, Beacon Pointe Insurance Services, LLC, 2024-Present  
Client Services, Keeney Financial Group Inc., 2015-2024  
Registered Representative, Triad Advisors, Inc., 2015-2024  
Administrative Assistant, LPL Financial LLC, 2013-2015  
Operations Associate, Morgan Stanley, 2011-2013

## Professional Designations

Zachary Boleyn holds the following professional designation:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Zachary Boleyn has no disciplinary history to disclose.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Zachary Boleyn is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is

a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Zachary Boleyn is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Zachary Boleyn an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Zachary Boleyn or BPIS if they decide to follow Mr. Boleyn's recommendations.

## **ITEM 5 – ADDITIONAL COMPENSATION**

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Zachary Boleyn is eligible to receive compensation from the activities outlined above in **Item 4 – Other Business Activities**. Zachary Boleyn is also eligible to receive carriable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

## **ITEM 6 – SUPERVISION**

John P. Keeney, Partner, Managing Director, is responsible for supervising Zachary Boleyn's activities. John P. Keeney monitors the advice provided by Zachary Boleyn for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. John P. Keeney can be reached by calling 410-730-7933.

# CHRISTINA L. WHITE, CFP®

## ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Christina L. White, CFP®, Financial Planner, b. 1987

### *Education:*

BS, Finance, University of Maryland

### *Business Background:*

Financial Planner, Beacon Pointe Advisors, LLC, 2024-Present

Agent, Beacon Pointe Insurance Services, LLC, 2024-Present

Investment Advisor Representative, Keeney Financial Group Inc., 2021-2024

Registered Admin, Triad Advisors, Inc., 2021-2024

Financial Planner, Egan, Berger, & Weiner, LLC, 2009-2021

Associate Financial Planner, Voya Financial Advisors, 2014-2021

Associate Financial Planner, ING Financial Partners, 2009-2014

## Professional Designations

Christina White holds the following professional designation:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 – DISCIPLINARY INFORMATION

Christina White has no disciplinary history to disclose.

## ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to her role with Beacon Pointe Advisors, LLC, Christina White is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Christina White is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Christina White an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Christina White or BPIS if they decide to follow Ms. White’s recommendations.

## ITEM 5 – ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Christina White is eligible to receive compensation from the activities outlined above in **Item 4 – Other Business Activities**. Christina White is also eligible to receive compensation for referring new clients to Beacon Pointe.

## ITEM 6 – SUPERVISION

John P. Keeney, Partner, Managing Director, is responsible for supervising Christina White’s activities. John P. Keeney monitors the advice provided by Christine White for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. John P. Keeney can be reached by calling 410-730-7933.