



## Form ADV Part 2B Brochure Supplement

July 25, 2023

### Individuals covered by this supplement include:

Jay L. Healy  
Hugh W. Gates

#### **Office Location:**

1770 Kirby Parkway, Suite 117  
Memphis, TN 38138  
(901) 850-5532

#### **Corporate Office:**

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
(949) 718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

## Table of Contents

<i>JAY L. HEALY, CFP<sup>®</sup>, CEPA<sup>®</sup></i> .....	<b>3</b>
<i>HUGH W. GATES, CFP<sup>®</sup></i> .....	<b>5</b>

# JAY L. HEALY, CFP®, CEPA®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jay L. Healy, CFP®, CEPA®, Partner, Managing Director, b. 1963

### *Education:*

MBA, Master of Business Administration, Christian Brothers University  
BA, Communications, Houghton College

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2022-Present  
Founder and President, Century Wealth Management, LLC, 2007-2021  
Founder and President, Progress Capital, Inc, 2000-2007

## Professional Designations

Jay Healy holds the following professional designations:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

### *Certified Exit Planning Advisor, CEPA*

The CEPA designation is awarded by the Exit Planning Institute. The candidate must complete a five-day MBA style program that concludes with a proctored exam. Candidates must also meet all the following requirements:

- Five years of full-time or equivalent experience working directly with business owners as a financial advisor, attorney, CPA, business broker, investment banker, commercial lender, estate planner, insurance professional, business consultant or in a related capacity

- Undergraduate degree from a qualifying institution; if no qualifying degree candidate must submit additional professional work experience (two years of relevant professional experience may be substituted for each year of required undergraduate studies)
- Continuing Education: To retain the CEPA designation the designee must obtain 40 hours of Continuing Education (“CE”) every three years and remain in good standing with Exit Planning Institute

For more information regarding the CEPA® is available <https://exit-planning-institute.org/program/certified-exit-planning-advisor>

### **ITEM 3 - DISCIPLINARY INFORMATION**

Jay Healy has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Jay Healy is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Jay Healy’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

### **ITEM 6 - SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Jay Healy oversees the investment advice provided from Beacon Pointe Advisors’ Memphis, TN, office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe’s Code of Ethics.

Shannon Eusey, Chief Executive Officer and Chief Compliance Officer is the supervisor of Jay Healy. She can be reached by calling 949-718-1600.

# HUGH W. GATES, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Hugh W. Gates, CFP®, Wealth Advisor, b. 1980

### *Education:*

BA, International Studies, University of Memphis

BBA, Managerial Finance, University of Memphis

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Financial Planner, Legacy Wealth Management, 2022-2023

VP Portfolio Manager, Delta Asset Management, 2015-2022

Registered Representative, Valic Financial Advisors, Inc., 2009-2014

## Professional Designations

Hugh Gates holds the following professional designation:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

## ITEM 3 - DISCIPLINARY INFORMATION

Hugh Gates has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Hugh Gates is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Hugh Gates is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Jay Healy, Partner, Managing Director, is responsible for supervising Hugh Gates' activities. Jay Healy monitors the advice provided by Hugh Gates for consistency with client objectives and Beacon Pointe Wealth Advisors, LLC's policies. Jay Healy can be reached by calling 901-850-5532.