



Form ADV Part 2B Brochure Supplement

April 18, 2023

Individuals covered by this supplement include:

Jay L. Healy
Hugh W. Gates

Office Location:

1770 Kirby Parkway, Suite 117
Memphis, TN 38138
(901) 850-5532

Corporate Office:

24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
(949) 718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

Table of Contents

<i>JAY L. HEALY, CFP[®], CEPA[®]</i>	3
<i>HUGH W. GATES, CFP[®]</i>	5

JAY L. HEALY, CFP®, CEPA®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jay L. Healy, CFP®, CEPA®, Partner, Managing Director, b. 1963

Education:

MBA, Master of Business Administration, Christian Brothers University
BA, Communications, Houghton College

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2022-Present
Founder and President, Century Wealth Management, LLC, 2007-2021
Founder and President, Progress Capital, Inc, 2000-2007

Professional Designations

Jay Healy holds the following professional designations:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

Certified Exit Planning Advisor, CEPA

The CEPA designation is awarded by the Exit Planning Institute. The candidate must complete a five-day MBA style program that concludes with a proctored exam. Candidates must also meet all the following requirements:

- Five years of full-time or equivalent experience working directly with business owners as a financial advisor, attorney, CPA, business broker, investment banker, commercial lender, estate planner, insurance professional, business consultant or in a related capacity

- Undergraduate degree from a qualifying institution; if no qualifying degree candidate must submit additional professional work experience (two years of relevant professional experience may be substituted for each year of required undergraduate studies)
- Continuing Education: To retain the CEPA designation the designee must obtain 40 hours of Continuing Education (“CE”) every three years and remain in good standing with Exit Planning Institute

For more information regarding the CEPA® is available <https://exit-planning-institute.org/program/certified-exit-planning-advisor>

ITEM 3 - DISCIPLINARY INFORMATION

Jay Healy has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Jay Healy is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Jay Healy’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

Jay Healy does not receive economic benefits from any person or entity other than Beacon Pointe in connection with the provision of investment advice to clients.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Jay Healy oversees the investment advice provided from Beacon Pointe Advisors’ Memphis, TN, office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe’s Code of Ethics.

Shannon Eusey, Chief Executive Officer and Chief Compliance Officer is the supervisor of Jay Healy. She can be reached by calling 949-718-1600.

HUGH W. GATES, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Hugh W. Gates, CFP®, Wealth Advisor, b. 1980

Education:

BA, Economics, University of Memphis

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Financial Planner, Legacy Wealth Management, 2022-2023

VP Portfolio Manager, Delta Asset Management, 2015-2022

Registered Representative, Valic Financial Advisors, Inc., 2009-2014

Professional Designations

Hugh Gates holds the following professional designation:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Hugh Gates has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Hugh Gates is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Hugh Gates is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

Hugh Gates does not receive economic benefits from any person or entity other than Beacon Pointe in connection with the provision of investment advice to clients.

ITEM 6 - SUPERVISION

Jay Healy, Partner, Managing Director, is responsible for supervising Hugh Gates' activities. Jay Healy monitors the advice provided by Hugh Gates for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Jay Healy can be reached by calling 901-850-5532.