



Form ADV Part 2B Brochure Supplement

February 6, 2025

Individuals covered by this supplement include:

Fredrick B. Taylor
Charles J. Farrell
Sean F. Curley
Thomas Stefaniak
John C. Riecke
Seth E. Guenther

Michael J. Beaulieu
Brad M. Meyer
Daniel F. Brascetta
Alexandra N. Schmidt
Bryton N. Kessel

Office Location:

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Tower Two, Suite 1150
Denver, CO 80222
(303) 832-2300

Corporate Office:

24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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FREDERICK B. TAYLOR

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Frederick B. Taylor, Partner, Managing Director, b. 1961

Education:

BA, *cum laude*, American History, Middlebury College

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present

President and Principal, Northstar Investment Advisors, LLC, 1995-2021

ITEM 3 - DISCIPLINARY INFORMATION

Frederick Taylor has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Frederick Taylor is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Frederick Taylor's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Frederick Taylor oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO office. Mr. Taylor's advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Frederick Taylor. She can be reached by calling 949-718-1600.

CHARLES J. FARRELL

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Charles J. Farrell, Partner, Managing Director, b. 1966

Education:

JD, *summa cum laude*, Tax Law, American University Washington College of Law
LLM, Taxation, Case Western Reserve University School of Law
BA, College of the Holy Cross

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present
Chief Executive Officer and Principal, Northstar Investment Advisors, LLC, 2010-2021
Senior Portfolio Manager, Northstar Investment Advisors, LLC, 2007-2009

ITEM 3 - DISCIPLINARY INFORMATION

Charles Farrell has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Charles Farrell is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Charles Farrell's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Charles Farrell oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO office. Mr. Farrell's advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Charles Farrell. She can be reached by calling 949-718-1600.

SEAN F. CURLEY, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Sean F. Curley, CFP®, Partner, Managing Director, b. 1964

Education:

BS, Computer Science, Pennsylvania State University

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2022-Present

Managing Member, The Retirement Planning Specialists, LLC, 2001-2021

Registered Principal, Registered Representative, and Investment Advisor Representative, LPL Financial, 1997-2021

Professional Designations

Sean Curley holds the following professional designation:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at cfp.net.

ITEM 3 - DISCIPLINARY INFORMATION

Sean Curley has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Sean Curley is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Sean Curley's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Sean Curley oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO, office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Sean Curley. She can be reached by calling 949-718-1600.

THOMAS C. STEFANIAK, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Thomas C. Stefaniak, CFP®, Partner, Managing Director, b. 1961

Education:

BBA, Real Estate and Finance, University of Wisconsin-Milwaukee

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2023-Present

Licensed Insurance Agent, Beacon Pointe Insurance Services, LLC, 2023-Present

Wealth Advisor, Pinnacle Wealth Management, Inc., 1998-2023

Financial Advisor, LPL Financial, LLC, 1998-2019

Professional Designations

Thomas Stefaniak holds the following professional designation:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at cfp.net.

ITEM 3 – DISCIPLINARY INFORMATION

Thomas Stefaniak has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Thomas Stefaniak is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Thomas Stefaniak is eligible to receive a portion of these commissions. Clients pay separate fees for

advisory services and insurance products or services. This practice gives Thomas Stefaniak an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Thomas Stefaniak or BPIS if they decide to follow Mr. Stefaniak's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Thomas Stefaniak's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Thomas Stefaniak is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Thomas Stefaniak oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Thomas Stefaniak. She can be reached by calling 949-718-1600.

JOHN C. RIECKE

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

John C. Riecke, Operations Manager, Head Trader, b. 1979

Education:

BA, Political Science, Southern Methodist University

Business Background:

Operations Manager, Head Trader, Beacon Pointe Advisors, LLC, 2023-Present

Senior Client Service Associate, Operations, Beacon Pointe Advisors, LLC, 2021-2023

Trading and Portfolio Management Associate, Northstar Investment Advisors, LLC, 2016-2021
Associate, Envestnet, 2011-2016

ITEM 3 - DISCIPLINARY INFORMATION

John Riecke has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

John Riecke is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, John Riecke is eligible to receive compensation for referring new clients to Beacon Pointe.

ITEM 6 - SUPERVISION

Frederick Taylor, Partner, Managing Director, is responsible for supervising John Riecke's activities. Frederick Taylor monitors the advice provided by John Riecke for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Frederick Taylor can be reached by calling 303-832-2300.

SETH E. GUENTHER, ChFC®, CLU®, CRPS®, CIMA®, CEBS®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Seth E. Guenther, ChFC®, CLU®, CRPS®, CIMA®, CEBS®, Senior Wealth Advisor, b. 1982

Education:

BS, Finance, Metropolitan State University of Denver

Business Background:

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-2023

Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2019-2020

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2019-2020

Regional Sales Representative, Oppenheimer Funds, 2011-2019

Professional Designations

Seth Guenther holds the following professional designations:

Chartered Financial Consultant®

The ChFC® designation has been a mark of excellence for financial planners for almost thirty years and currently requires more courses than any other financial planning credential. The curriculum covers extensive education and application training in all aspects of financial planning, income taxation, investments, and estate and retirement planning.

To attain the right to use the ChFC® marks, take a proctored exam for each course of study, have 3 years of full-time, relevant business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours continuing education every two years. More information regarding the ChFC® can be found at theamericancollege.edu/designations-degrees/ChFC.

Chartered Life Underwriter®

The CLU® designation is conferred by The American College. To earn the credential, each CLU® candidate must take a proctored exam for each course of study, have 3 years of full-time business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours of continuing education every two years. More information regarding the CLU® can be found at cluhigheststandard.com.

Chartered Retirement Plans SpecialistSM (CRPS®)

The Chartered Retirement Plans SpecialistSM (CRPS®) is a credential for those who create, implement, and maintain retirement plans for businesses. Unlike most other professional financial planning and advisory professional designations, the CRPS® focuses on wholesale and business clients. It is awarded by the College for Financial Planning to individuals who pass an exam demonstrating their expertise. Every two years, CRPS® professionals must complete 16 hours of continuing education and pay a nominal fee to

continue using the designation. More information regarding the CRPS® is available at kaplanfinancial.com/wealth-management/crps

Certified Investment Management Analyst® (CIMA®)

The CIMA designation is issued by the Investments and Wealth Institute (formerly IMCA). To earn each certification, each CIMA candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business (“AACSB”) accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom education program and also complete a minimum of 30 hours of continuing education every two years. More information regarding the CIMA is available at investmentsandwealth.org/certifications/cima-certification

Certified Employee Benefit Specialist® (CEBS®)

The CEBS designation is overseen by the Wharton School of Business at the University of Pennsylvania and is for professionals who handle benefits for companies but want to be able to plan and negotiate packages. Candidates must complete eight courses either online or in a class setting. All students must pass an exam following completion of each of the eight courses. More information regarding the CEBS is available at ifebp.org/cebs/home

ITEM 3 - DISCIPLINARY INFORMATION

Seth Guenther has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Seth Guenther is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Seth Guenther’s compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

ITEM 6 - SUPERVISION

Frederick Taylor, Partner, Managing Director, is responsible for supervising Seth Guenther’s activities. Frederick Taylor monitors the advice provided by Seth Guenther for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Frederick Taylor can be reached by calling 303-832-2300.

MICHAEL J. BEAULIEU, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael J. Beaulieu, CFP®, Wealth Advisor, b. 1994

Education:

BS, Business Administration, Colorado State University

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2022-Present

Investment Advisor Representative, The Retirement Planning Specialists, LLC, 2017-2021

Senior Associate, T. Rowe Price, 2016-2017

Associate Advisor Intern, Confluence Financial Advisors, 2016

Professional Designations

Michael Beaulieu holds the following professional designation:

Certified Financial Planner

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at cfp.net.

ITEM 3 - DISCIPLINARY INFORMATION

Michael Beaulieu has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Beaulieu is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Michael Beaulieu's compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. Additionally, Mr. Beaulieu's is eligible to receive compensation through his minority ownership in Beacon Pointe.

ITEM 6 - SUPERVISION

Sean Curley, Partner, Managing Director, is responsible for supervising Michael Beaulieu's activities. Sean Curley monitors the advice provided by Michael Beaulieu for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Sean Curley can be reached by calling 303-832-2300.

DANIEL F. BRASCETTA, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Daniel F. Brascetta, CFP®, Wealth Advisor, b. 1987

Education:

BA, Economics, Oregon State University

Minor, Business and Entrepreneurship, Oregon State University

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Agent, Beacon Pointe Insurance Services, LLC, 2023-Present

Financial Advisor, Lord and Richards, 2022-2023

Investment Adviser Representative, AE Wealth management, LLC., 2022-2023

Business Development, SHJ Wealth Advisors, 2021-2022

Investment Adviser Representative, Pathway Advisor Group, 2018-2021

Internal Sales Associate, Charles Schwab Bank, 2016-2018

Professional Designations

Daniel Brascetta holds the following professional designation:

Certified Financial Planner

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at cfp.net.

ITEM 3 - DISCIPLINARY INFORMATION

Daniel Brascetta has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Daniel Brascetta is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Daniel Brascetta is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Daniel Brascetta an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Daniel Brascetta or BPIS if they decide to follow Mr. Brascetta’s recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Daniel Brascetta’s compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. The additional compensation that Daniel Brascetta is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Frederick Taylor, Partner, Managing Director, is responsible for supervising Daniel Brascetta’s activities. Frederick Taylor monitors the advice provided by Daniel Brascetta for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Frederick Taylor can be reached by calling 303-832-2300.

BRAD M. MEYER, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Brad M. Meyer, CFP®, Wealth Advisor, b. 1996

Education:

BS, Business Administration, Creighton University

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Wealth Advisor, Pinnacle Wealth Management, LLC, 2019-2023

Financial Advisor, Edward Jones, 2018-2019

Internal Wholesaling Intern, Pacific Life, 2017-2018

Professional Designations

Brad Meyer holds the following professional designation:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at cfp.net.

ITEM 3 - DISCIPLINARY INFORMATION

Brad Meyer has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Brad Meyer is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Brad Meyer is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

ITEM 6 - SUPERVISION

Thomas Stefaniak, Partner, Managing Director, is responsible for supervising Brad Meyer's activities. Thomas Stefaniak monitors the advice provided by Brad Meyer for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Thomas Stefaniak can be reached by calling 303-832-2300.

ALEXANDRA N. SCHMIDT, CDFA®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Alexandra N. Schmidt, CDFA®, Wealth Advisor, b. 1993

Education:

BS, Marketing, Florida State University

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-2024

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-2023

Client Service and Portfolio Management Associate, Northstar Investment Advisors, LLC, 2019-2021

Professional Designations

Alexandra Schmidt holds the following professional designation:

Certified Divorce Financial Analyst

The designation is issued by the Institute for Divorce Financial Analysts. The candidate is required to develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study. The candidate must also have two years' minimum experience in a financial or legal capacity. The candidate must complete a four-part exam and demonstrate the practical application of this knowledge. Continuing education of 30 hours every two years is required to maintain the designation. More information on the CDFA® can be found at: institutedfa.com

ITEM 3 - DISCIPLINARY INFORMATION

Alexandra Schmidt has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Alexandra Schmidt is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Alexandra Schmidt is eligible to receive variable compensation based on the number of assets/clients she brings into Beacon Pointe.

ITEM 6 - SUPERVISION

Frederick Taylor, Partner, Managing Director, is responsible for supervising Alexandra Schmidt's activities. Frederick Taylor monitors the advice provided by Alexandra Schmidt for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Frederick Taylor can be reached by calling 303-832-2300.

BRYTON N. KESSEL, AIF®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Bryton N. Kessel, AIF®, Wealth Advisor, b. 1996

Education:

AA, Business, Red Rocks Community College

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Associate Advisor, Beacon Pointe Advisors, LLC, 2023-2024

Wealth Planner, Pinnacle Wealth Management, INC, 2020-2023

High Net Worth Service Associate, Fidelity Brokerage Services LLC, 2020

Professional Designations

Bryton Kessel holds the following professional designation:

Accredited Investment Fiduciary (“AIF”)

The AIF® designation is issued by the Center for Fiduciary Studies. To earn the designation, each AIF® candidate must complete either a web-based or capstone program, pass a final certification exam, and complete a minimum of 6 hours of continuing education per year. AIF® designees must also sign and agree to abide by a code of ethics. More information regarding the AIF® is available at [fi360.com](https://www.fi360.com).

ITEM 3 – DISCIPLINARY INFORMATION

Bryton Kessel has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Bryton Kessel is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Bryton Kessel is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

ITEM 6 - SUPERVISION

Thomas Stefaniak, Partner, Managing Director, is responsible for supervising Bryton Kessel’s activities. Thomas Stefaniak monitors the advice provided by Bryton Kessel for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Thomas Stefaniak can be reached by calling 303-832-2300.