



## Form ADV Part 2B Brochure Supplement

January 29, 2025

### Individuals covered by this supplement include:

Fredrick B. Taylor  
Charles J. Farrell  
Sean F. Curley  
Thomas Stefaniak  
John C. Riecke  
Seth E. Guenther

Michael J. Beaulieu  
Brad M. Meyer  
Daniel F. Brascetta  
Alexandra N. Schmidt  
Bryton N. Kessel

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#### Corporate Office:

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Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# FREDERICK B. TAYLOR

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Frederick B. Taylor**, Partner, Managing Director, b. 1961

*Education:*

BA, *cum laude*, American History, Middlebury College

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present

President and Principal, Northstar Investment Advisors, LLC, 1995-2021

## ITEM 3 - DISCIPLINARY INFORMATION

Frederick Taylor has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Frederick Taylor is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Frederick Taylor's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

## ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Frederick Taylor oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO office. Mr. Taylor's advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Frederick Taylor. She can be reached by calling 949-718-1600.

# CHARLES J. FARRELL

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Charles J. Farrell**, Partner, Managing Director, b. 1966

*Education:*

JD, *summa cum laude*, Tax Law, American University Washington College of Law  
LLM, Taxation, Case Western Reserve University School of Law  
BA, College of the Holy Cross

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2021-Present  
Chief Executive Officer and Principal, Northstar Investment Advisors, LLC, 2010-2021  
Senior Portfolio Manager, Northstar Investment Advisors, LLC, 2007-2009

## ITEM 3 - DISCIPLINARY INFORMATION

Charles Farrell has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Charles Farrell is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Charles Farrell's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

## ITEM 6 - SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Charles Farrell oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO office. Mr. Farrell's advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Charles Farrell. She can be reached by calling 949-718-1600.

# SEAN F. CURLEY, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Sean F. Curley, CFP®, Partner, Managing Director, b. 1964

*Education:*

BS, Computer Science, Pennsylvania State University

*Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2022-Present

Managing Member, The Retirement Planning Specialists, LLC, 2001-2021

Registered Principal, Registered Representative, and Investment Advisor Representative, LPL Financial, 1997-2021

### Professional Designations

Sean Curley holds the following professional designation:

*Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at [cfp.net](http://cfp.net).

## ITEM 3 - DISCIPLINARY INFORMATION

Sean Curley has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Sean Curley is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Sean Curley's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

## **ITEM 6 - SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Sean Curley oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO, office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Sean Curley. She can be reached by calling 949-718-1600.

# THOMAS C. STEFANIAK, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Thomas C. Stefaniak, CFP®, Partner, Managing Director, b. 1961

### *Education:*

BBA, Real Estate and Finance, University of Wisconsin-Milwaukee

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2023-Present

Licensed Insurance Agent, Beacon Pointe Insurance Services, LLC, 2023-Present

Wealth Advisor, Pinnacle Wealth Management, Inc., 1998-Present

Financial Advisor, LPL Financial, LLC, 1998-2019

## Professional Designations

Thomas Stefaniak holds the following professional designation:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at [cfp.net](http://cfp.net).

## ITEM 3 – DISCIPLINARY INFORMATION

Thomas Stefaniak has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Thomas Stefaniak is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Thomas Stefaniak is eligible to receive a portion of these commissions. Clients pay separate fees for

advisory services and insurance products or services. This practice gives Thomas Stefaniak an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Thomas Stefaniak or BPIS if they decide to follow Mr. Stefaniak's recommendations.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Thomas Stefaniak's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Thomas Stefaniak is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

## **ITEM 6 - SUPERVISION**

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Thomas Stefaniak oversees the investment advice provided from Beacon Pointe Advisors' Denver, CO office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Thomas Stefaniak. She can be reached by calling 949-718-1600.



# JOHN C. RIECKE

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**John C. Riecke**, Operations Manager, Head Trader, b. 1979

*Education:*

BA, Political Science, Southern Methodist University

*Business Background:*

Operations Manager, Head Trader, Beacon Pointe Advisors, LLC, 2023-Present

Senior Client Service Associate, Operations, Beacon Pointe Advisors, LLC, 2021-2023

Trading and Portfolio Management Associate, Northstar Investment Advisors, LLC, 2016-2021  
Associate, Envestnet, 2011-2016

## ITEM 3 - DISCIPLINARY INFORMATION

John Riecke has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

John Riecke is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, John Riecke is eligible to receive compensation for referring new clients to Beacon Pointe.

## ITEM 6 - SUPERVISION

Frederick Taylor, Partner, Managing Director, is responsible for supervising John Riecke's activities. Frederick Taylor monitors the advice provided by John Riecke for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Frederick Taylor can be reached by calling 303-832-2300.

# SETH E. GUENTHER, ChFC®, CLU®, CRPS®, CIMA®, CEBS®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Seth E. Guenther, ChFC®, CLU®, CRPS®, CIMA®, CEBS®, Senior Wealth Advisor, b. 1982

### *Education:*

BS, Finance, Metropolitan State University of Denver

### *Business Background:*

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-2023

Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2019-2020

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2019-2020

Regional Sales Representative, Oppenheimer Funds, 2011-2019

## Professional Designations

Seth Guenther holds the following professional designations:

### *Chartered Financial Consultant®*

The ChFC® designation has been a mark of excellence for financial planners for almost thirty years and currently requires more courses than any other financial planning credential. The curriculum covers extensive education and application training in all aspects of financial planning, income taxation, investments, and estate and retirement planning.

To attain the right to use the ChFC® marks, take a proctored exam for each course of study, have 3 years of full-time, relevant business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours continuing education every two years. More information regarding the ChFC® can be found at [theamericancollege.edu/designations-degrees/ChFC](http://theamericancollege.edu/designations-degrees/ChFC).

### *Chartered Life Underwriter®*

The CLU® designation is conferred by The American College. To earn the credential, each CLU® candidate must take a proctored exam for each course of study, have 3 years of full-time business experience within the five years preceding the awarding of the designation, and complete a minimum of 30 hours of continuing education every two years. More information regarding the CLU® can be found at [cluhigheststandard.com](http://cluhigheststandard.com).

### *Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS®)*

The Chartered Retirement Plans Specialist<sup>SM</sup> (CRPS®) is a credential for those who create, implement, and maintain retirement plans for businesses. Unlike most other professional financial planning and advisory professional designations, the CRPS® focuses on wholesale and business clients. It is awarded by the College for Financial Planning to individuals who pass an exam demonstrating their expertise. Every two years, CRPS® professionals must complete 16 hours of continuing education and pay a nominal fee to

continue using the designation. More information regarding the CRPS® is available at [kaplanfinancial.com/wealth-management/crps](http://kaplanfinancial.com/wealth-management/crps)

### *Certified Investment Management Analyst® (CIMA®)*

The CIMA designation is issued by the Investments and Wealth Institute (formerly IMCA). To earn each certification, each CIMA candidate must complete a self-study program, attend a one-week classroom education program provided by an Association to Advance Collegiate Schools of Business (“AACSB”) accredited university business school, pass an online examination after self-study and an on-line comprehensive certification examination after meeting all requirements of a registered classroom education program and also complete a minimum of 30 hours of continuing education every two years. More information regarding the CIMA is available at [investmentsandwealth.org/certifications/cima-certification](http://investmentsandwealth.org/certifications/cima-certification)

### *Certified Employee Benefit Specialist® (CEBS®)*

The CEBS designation is overseen by the Wharton School of Business at the University of Pennsylvania and is for professionals who handle benefits for companies but want to be able to plan and negotiate packages. Candidates must complete eight courses either online or in a class setting. All students must pass an exam following completion of each of the eight courses. More information regarding the CEBS is available at [ifebp.org/cebs/home](http://ifebp.org/cebs/home)

## **ITEM 3 - DISCIPLINARY INFORMATION**

Seth Guenther has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Seth Guenther is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Seth Guenther’s compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Frederick Taylor, Partner, Managing Director, is responsible for supervising Seth Guenther’s activities. Frederick Taylor monitors the advice provided by Seth Guenther for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Frederick Taylor can be reached by calling 303-832-2300.

# MICHAEL J. BEAULIEU, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Michael J. Beaulieu, CFP®**, Wealth Advisor, b. 1994

*Education:*

BS, Business Administration, Colorado State University

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2022-Present

Investment Advisor Representative, The Retirement Planning Specialists, LLC, 2017-2021

Senior Associate, T. Rowe Price, 2016-2017

Associate Advisor Intern, Confluence Financial Advisors, 2016

### Professional Designations

Michael Beaulieu holds the following professional designation:

*Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at [cfp.net](http://cfp.net).

## ITEM 3 - DISCIPLINARY INFORMATION

Michael Beaulieu has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Beaulieu is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Michael Beaulieu's compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. Additionally, Mr. Beaulieu's is eligible to receive compensation through his minority ownership in Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Sean Curley, Partner, Managing Director, is responsible for supervising Michael Beaulieu's activities. Sean Curley monitors the advice provided by Michael Beaulieu for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Sean Curley can be reached by calling 303-832-2300.

# DANIEL F. BRASCETTA, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Daniel F. Brascetta, CFP®, Wealth Advisor, b. 1987

### *Education:*

BA, Economics, Oregon State University

Minor, Business and Entrepreneurship, Oregon State University

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Financial Advisor, Lord and Richards, 2022-2023

Investment Adviser Representative, AE Wealth management, LLC., 2022-2023

Business Development, SHJ Wealth Advisors, 2021-2022

Investment Adviser Representative, Pathway Advisor Group, 2018-2021

Internal Sales Associate, Charles Schwab Bank, 2016-2018

## Professional Designations

Daniel Brascetta holds the following professional designation:

### *Certified Financial Planner*

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at [cfp.net](http://cfp.net).

## ITEM 3 - DISCIPLINARY INFORMATION

Daniel Brascetta has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Daniel Brascetta is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Daniel Brascetta's compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Frederick Taylor, Partner, Managing Director, is responsible for supervising Daniel Brascetta's activities. Frederick Taylor monitors the advice provided by Daniel Brascetta for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Frederick Taylor can be reached by calling 303-832-2300.

# BRAD M. MEYER, CFP®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Brad M. Meyer, CFP®**, Wealth Advisor, b. 1996

*Education:*

BS, Business Administration, Creighton University

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Wealth Advisor, Pinnacle Wealth Management, LLC, 2019-2023

Financial Advisor, Edward Jones, 2018-2019

Internal Wholesaling Intern, Pacific Life, 2017-2018

### Professional Designations

Brad Meyer holds the following professional designation:

*Certified Financial Planner*

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at [cfp.net](http://cfp.net).

## ITEM 3 - DISCIPLINARY INFORMATION

Brad Meyer has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Brad Meyer is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.



## **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Brad Meyer is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Thomas Stefaniak, Partner, Managing Director, is responsible for supervising Brad Meyer's activities. Thomas Stefaniak monitors the advice provided by Brad Meyer for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Thomas Stefaniak can be reached by calling 303-832-2300.

# ALEXANDRA N. SCHMIDT, CDFA®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Alexandra N. Schmidt, CDFA®, Wealth Advisor, b. 1993

*Education:*

BS, Marketing, Florida State University

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-2024

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-2023

Client Service and Portfolio Management Associate, Northstar Investment Advisors, LLC, 2019-2021

### Professional Designations

Alexandra Schmidt holds the following professional designation:

*Certified Divorce Financial Analyst*

The designation is issued by the Institute for Divorce Financial Analysts. The candidate is required to develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study. The candidate must also have two years' minimum experience in a financial or legal capacity. The candidate must complete a four-part exam and demonstrate the practical application of this knowledge. Continuing education of 30 hours every two years is required to maintain the designation. More information on the CDFA® can be found at: [institutedfa.com](http://institutedfa.com)

## ITEM 3 - DISCIPLINARY INFORMATION

Alexandra Schmidt has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Alexandra Schmidt is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Alexandra Schmidt is eligible to receive variable compensation based on the number of assets/clients she brings into Beacon Pointe.

## ITEM 6 - SUPERVISION

Frederick Taylor, Partner, Managing Director, is responsible for supervising Alexandra Schmidt's activities. Frederick Taylor monitors the advice provided by Alexandra Schmidt for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Frederick Taylor can be reached by calling 303-832-2300.

# **BRYTON N. KESSEL, AIF®**

## **ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Bryton N. Kessel, AIF®**, Wealth Advisor, b. 1996

*Education:*

AA, Business, Red Rocks Community College

*Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Associate Advisor, Beacon Pointe Advisors, LLC, 2023-2024

Wealth Planner, Pinnacle Wealth Management, INC, 2020-2023

High Net Worth Service Associate, Fidelity Brokerage Services LLC, 2020

### **Professional Designations**

Bryton Kessel holds the following professional designation:

*Accredited Investment Fiduciary (“AIF”)*

The AIF® designation is issued by the Center for Fiduciary Studies. To earn the designation, each AIF® candidate must complete either a web-based or capstone program, pass a final certification exam, and complete a minimum of 6 hours of continuing education per year. AIF® designees must also sign and agree to abide by a code of ethics. More information regarding the AIF® is available at [fid360.com](https://www.fid360.com).

## **ITEM 3 – DISCIPLINARY INFORMATION**

Bryton Kessel has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Bryton Kessel is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Bryton Kessel is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Thomas Stefaniak, Partner, Managing Director, is responsible for supervising Bryton Kessel’s activities. Thomas Stefaniak monitors the advice provided by Bryton Kessel for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Thomas Stefaniak can be reached by calling 303-832-2300.