



**Form ADV
Part 2B Brochure Supplement**

July 6, 2023

Individuals covered by this supplement include:

Jordan Heller
Michael J. Whittemore
Callie L. Renner
Joseph R. Pecoraro
Andrew A. Roberts

Paul J. Valdes
Donald J. Hertling
Melissa J. Gerckens
Jonathan Savorgiannakis
Delia K. Oldak

Located At:

47 Maple Street, Suite 306
Summit, NJ 07901
973-287-5437

Corporate Office:

24 Corporate Plaza Drive, Suite 150
Newport Beach, CA 92660
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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JORDAN HELLER, CFA®, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jordan Heller, CFA®, CFP®, Partner, Managing Director, b. 1960

Education:

MBA, Finance, University of Chicago – Booth School of Business
BS, Accounting, Bentley University

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present
Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2019-2020
Managing Director, Partner, Beacon Pointe Wealth Advisors, LLC, 2019-2020
Agent, Beacon Pointe Insurance Services, LLC, 2019-Present
Chief Executive Officer, Heller Wealth Advisors, LLC, 2017-2019
President, Heller Wealth Advisors, LLC, 2008-2017

Professional Designations

Jordan Heller holds the following professional designations:

Chartered Financial Analyst

The Chartered Financial Analyst™ (“CFA®”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org>.

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® board

registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Jordan Heller has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Jordan Heller is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Jordan Heller is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Jordan Heller an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Jordan Heller or BPIS if they decide to follow Mr. Heller’s recommendations.

Additionally, Mr. Heller is a principal Member of JD Associates, LLC. JD Associates, LLC, refers out clients seeking property and casualty insurance to unaffiliated insurance brokers. JD Associates receives an annual commission based on policies placed with the insurance brokers. Jordan Heller is eligible to receive a portion of these commissions. This practice gives Jordan Heller an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations offered through Jordan Heller or JD Associates, LLC.

Jordan Heller also serves on the Board of the New York School of Podiatric Medicine, and Alzheon. He is a member of the Investment Management Consultant Association, the Association for Investment Management and Research, and the New York Society of Security Analysts. These activities account for a de minimis amount of Mr. Heller’s time and income. Beacon Pointe Advisors, LLC does not believe they present a conflict.

ITEM 5 - ADDITIONAL COMPENSATION

Jordan Heller’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Jordan Heller is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

ITEM 6 - SUPERVISION

As Partner, Managing Director at Beacon Pointe Advisors, LLC, Jordan Heller oversees the investment advice provided from Beacon Pointe Advisors’ Summit, New Jersey office. His advice is not monitored by any other individual. He is however bound by Beacon Pointe’s Code of Ethics.

Shannon Eusey, Partner, Chief Executive Officer, and Chief Compliance Officer, Beacon Pointe Advisors, LLC, is the supervisor of Jordan Heller. She can be reached by calling 949-718-1600.

DONALD J. HERTLING, CFP®, MST

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Donald J. Hertling, CFP®, MST, Partner, Managing Director, b. 1973

Education:

Master of Taxation, Fairleigh Dickenson University

BBA, Business Administration and Management, Rider University

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2019-2020

Managing Director, Partner, Beacon Pointe Wealth Advisors, LLC, 2019-2020

Agent, Beacon Pointe Insurance Services, LLC, 2019-Present

President, Chief Compliance Officer, Heller Wealth Advisors, LLC, 2017-2019

Chief Compliance Officer, Heller Wealth Advisors, LLC, 2008-2017

Professional Designations

Donald Hertling holds the following professional designation:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Donald Hertling has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Donald Hertling is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Donald Hertling is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Donald Hertling an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Donald Hertling or BPIS if they decide to follow Mr. Hertling’s recommendations.

Additionally, Mr. Hertling is a principal Member of JD Associates, LLC. JD Associates, LLC, refers out clients seeking property and casualty insurance to unaffiliated insurance brokers. JD Associates receives an annual commission based on policies placed with the insurance brokers. Donald Hertling is eligible to receive a portion of these commissions. This practice gives Donald Hertling an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations offered through Donald Hertling or JD Associates, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Donald Hertling’s compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Donald Hertling is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

ITEM 6 - SUPERVISION

As Partner, Managing Director at Beacon Pointe Advisors, LLC, Don Hertling oversees the investment advice provided from Beacon Pointe Advisors’ Summit, New Jersey office. His advice is not monitored by another individual. He is however bound by Beacon Pointe’s Code of Ethics.

Shannon Eusey, Partner, Chief Executive Officer, and Chief Compliance Officer, Beacon Pointe Advisors, LLC, is the supervisor of Donald Hertling. She can be reached by calling 949-718-1600.

MICHAEL J. WHITTEMORE, CFA

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Michael J. Whittemore, CFA, Senior Strategic Investment Advisor, b. 1978

Education:

BA, Economics, Rutgers College, Rutgers University

Business Background:

Senior Strategic Investment Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2019-2020

Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2019-2020

Chief Investment Officer, Heller Wealth Advisors, LLC, 2017-2019

Portfolio Oversight Director, US Trust, 2014-2017

Professional Designations

Michael Whittemore holds the following professional designation:

Chartered Financial Analyst

The Chartered Financial Analyst™ (“CFA®”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org>.

ITEM 3 - DISCIPLINARY INFORMATION

Michael Whittemore has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Michael Whittemore is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Michael Whittemore is eligible to receive compensation for referring new clients to Beacon Pointe Advisors, LLC.

ITEM 6 – SUPERVISION

Donald Hertling, Partner, Managing Director, is responsible for supervising Michael Whittimore’s activities. Donald Hertling monitors the advice provided by Michael Whittimore for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Donald Hertling can be reached by calling 973-287-5437.

CALLIE L. RENNER, CDFA®, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Callie L. Renner, CDFA®, CFP®, Wealth Advisor, b. 1992

Education:

BA, Economics, Global Business, Mount Holyoke College

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2019-2020

Associate Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2019-2020

Financial Planning Associate, Heller Wealth Advisors, LLC, 2016-2019

Economic Research Associate, A. Gary Shilling & Co., 2014-2016

Professional Designations

Callie Renner holds the following professional designation:

Certified Divorce Financial Analyst

The designation is issued by the Institute for Divorce Financial Analysts. The candidate is required to develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study. The candidate must also have two years' minimum experience in a financial or legal capacity. The candidate must complete a four-part exam and demonstrate the practical application of this knowledge. Continuing education of 30 hours every two years is required to maintain the designation. More information on the CDFA® can be found at: <https://www.institutedfa.com/>

Certified Financial Planner

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Callie Renner has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Callie Renner is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Callie Renner's compensation comes from Beacon Pointe Advisors, LLC. She receives variable compensation that is based on the number of assets/clients she brings into Beacon Pointe.

ITEM 6 - SUPERVISION

Donald Hertling, Partner, Managing Director, is responsible for supervising Callie Renner's activities. Donald Hertling monitors the advice provided by Callie Renner for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Donald Hertling can be reached by calling 973-287-5437.

MELISSA J. GERCKENS, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Melissa J. Gerckens, CFP®, Wealth Advisor, b. 1989

Education:

BS, Business, emphasis in Marketing, Susquehanna University – Sigmund Weis School of Business

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2019-2020

Associate Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2019-2020

Agent, Beacon Pointe Insurance Services, LLC, 2019-Present

Financial Planning Associate, Heller Wealth Advisors, LLC, 2016-2019

Professional Designations

Melissa Gerckens holds the following professional designation:

Certified Financial Planner

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Melissa Gerckens has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to her role with Beacon Pointe Advisors, LLC, Melissa Gerckens is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase,

and Melissa Gerckens is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Melissa Gerckens an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Melissa Gerckens or BPIS if they decide to follow Ms. Gerckens' recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Melissa Gerckens' compensation comes from Beacon Pointe Advisors, LLC. She receives variable compensation that is based on the number of assets/clients she brings into Beacon Pointe. The additional compensation that Melissa Gerckens is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 - SUPERVISION

Donald Hertling, Partner, Managing Director, is responsible for supervising Melissa Gerckens' activities. Donald Hertling monitors the advice provided by Melissa Gerckens for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Donald Hertling can be reached by calling 973-287-5437.

JOSEPH R. PECORARO, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Joseph R. Pecoraro, CFP®, Wealth Advisor, b. 1991

Education:

BS, Business Administration, Finance, Seton Hall University

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Agent, Beacon Pointe Insurance Services, LLC, 2023-Present

Wealth Advisor, Advisors Capital Management, LLC, 2019-2020

Internal Wholesaler, Advisors Capital Management, LLC, 2015-2019

Professional Designations

Joseph Pecoraro holds the following professional designation:

Certified Financial Planner

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Joseph Pecoraro has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Joseph Pecoraro is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Joseph Pecoraro is eligible to receive a portion of these commissions. Clients pay separate fees for

advisory services and insurance products or services. This practice gives Joseph Pecoraro an incentive to recommend insurance products based on the compensation received, rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Joseph Pecoraro or BPIS if they decide to follow Mr. Pecoraro's recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

Joseph Pecoraro's compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. The additional compensation that Joseph Pecoraro is eligible to receive is outlined above in **Item 4 – Other Business Activities**.

ITEM 6 – SUPERVISION

Donald Hertling, Partner, Managing Director, is responsible for supervising Joseph Pecoraro's activities. Donald Hertling monitors the advice provided by Joseph Pecoraro for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Donald Hertling can be reached by calling 973-287-5437.

JONATHAN SAVORGIANNAKIS, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jonathan Savorgiannakis, CFP®, Senior Associate Wealth Advisor, b. 1996

Education:

BS, Finance, Fordham University – Gabelli School of Business

Business Background:

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-2023

Registered Representative, Equitable Advisors, LLC, 2019-2021

Registered Representative, 2019-2021

Intern, Wells Fargo Advisors, 2017

Intern, 21st Century Fox, 2016

Professional Designations

Jonathan Savorgiannakis holds the following professional designation:

Certified Financial Planner

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ITEM 3 - DISCIPLINARY INFORMATION

Jonathan Savorgiannakis has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Jonathan Savorgiannakis is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Jonathan Savorgiannakis is eligible to receive compensation for referring new clients to Beacon Pointe Advisors, LLC.

ITEM 6 – SUPERVISION

Joseph Pecoraro, Wealth Advisor, is responsible for supervising Jonathan Savorgiannakis' activities. Joseph Pecoraro monitors the advice provided by Jonathan Savorgiannakis for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Joseph Pecoraro can be reached by calling 973-287-5437.

DELIA K. OLDAK, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Delia K. Oldak, CFP®, Senior Associate Wealth Advisor, b. 1996

Education:

BA, Finance, Loyola University of Maryland

Business Background:

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Financial Planner, Beacon Pointe Advisors, LLC, 2022-2023

Senior Wealth Specialist, Zoe Financial, 2022-2022

Financial Consultant, Fidelity Brokerage Services, 2019-2022

Registered Representative, Legg Mason Investor Services, 2018-2019

Professional Designations

Delia Oldak holds the following professional designation:

Certified Financial Planner

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Delia Oldak has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Delia Oldak is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Delia Oldak is eligible to receive compensation for referring new clients to Beacon Pointe Advisors, LLC.

ITEM 6 – SUPERVISION

Donald Hertling, Partner, Managing Director, is responsible for supervising Delia Oldak’s activities. Donald Hertling monitors the advice provided by Delia Oldak for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Donald Hertling can be reached by calling 973-287-5437.

ANDREW A. ROBERTS, CFP®

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Andrew A. Roberts, CFP®, Associate Wealth Advisor, b. 1991

Education:

BS, University of Dayton

Business Background:

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Agent, Beacon Pointe Insurance Services, LLC, 2023

Financial Planner, Fidelity Brokerage Services, LLC, 2015-2023

Professional Designations

Andrew Roberts holds the following professional designation:

Certified Financial Planner

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To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

ITEM 3 - DISCIPLINARY INFORMATION

Andrew Roberts has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Andrew Roberts is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Andrew Roberts is eligible to receive compensation for referring new clients to Beacon Pointe.

ITEM 6 – SUPERVISION

Joseph Pecoraro, Wealth Advisor, is responsible for supervising Andrew Robert’s activities. Joseph Pecoraro monitors the advice provided by Andrew Roberts for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Joseph Pecoraro can be reached by calling 973-287-5437.

PAUL J. VALDES

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Paul J. Valdes, Regional Director, b. 1967

Education:

BS, Business Administration, Fordham University

Business Background:

Regional Director, Beacon Pointe Advisors, LLC, 2021-Present

Vice President, Advisor Consultant, Nuveen Securities, LLC, 2014-2020

ITEM 3 - DISCIPLINARY INFORMATION

Paul Valdes has no material disciplinary history requiring disclosure under this item. Please see www.adviserinfo.sec.gov for additional information about Paul Valdes.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Paul Valdes is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

Paul Valdes' compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

ITEM 6 – SUPERVISION

Donald Hertling, Partner, Managing Director, is responsible for supervising Paul Valdes' activities. Donald Hertling monitors the advice provided by Paul Valdes for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Donald Hertling can be reached by calling 973-287-5437.