



## Form ADV Part 2B Brochure Supplement

June 13, 2024

### Individuals covered by this supplement include:

Mark V. Mathers  
Bruce W. Burgess  
Vincent P. Franks  
Flynn T. McDonnell  
Medha A. Nadgir

LeEric Daye  
Andrew J. Scanlon  
Melisa Dobraca Iacano  
Michelle ("Shelly") L. Lemay

#### Located At:

400 Totten Pond Road, Suite 220  
Waltham, MA 02451  
617-614-9895

#### Corporate Office:

24 Corporate Plaza Drive, Suite 150  
Newport Beach, CA 92660  
949-718-1600

This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC, brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

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# MARK V. MATHERS, CFP®, CSRIC®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Mark V. Mathers, CFP®, CSRIC®**, Partner, Managing Director, B. 1965

### *Education:*

BA, Business Studies and Marketing, Ulster University

### *Business Background:*

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2015-2020

Managing Director, Partner, Beacon Pointe Wealth Advisors, LLC, 2015-2020

## Professional Designation

Mark Mathers holds the following professional designations:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by the Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® Board- Registered Program or hold an accepted designation, degree, or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

### *Chartered SRI Counselor™*

The CSRIC® program is a designation program for financial professionals issued by the College for Financial Planning. It is accredited by the U.S. Department of Education Regional Accredited Agencies, The Higher Learning Commission (HLC).

Individuals with this professional designation have completed study and coursework in sustainable, responsible, and impact investing (SRI). It provides financial advisors and investment professionals with information about the history, definitions, trends, portfolio construction principles, fiduciary responsibilities, and best practices for sustainable investments. The CSRIC® Professional Education Program is a 3-semester credit graduate-level course. Students spend approximately 90-135 hours in

course-related activities to study and prepare adequately for the course examination. In addition, all CSRIC®s are required to complete 16 hours of continuing education credits every two years. More information regarding the CSRIC® is available at <https://www.cffp.edu/>.

### **ITEM 3 - DISCIPLINARY INFORMATION**

Mark Mathers has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Mark Mathers is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

### **ITEM 5 - ADDITIONAL COMPENSATION**

Mark Mathers' compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC.

### **ITEM 6 - SUPERVISION**

As Partner, Managing Director, Mark Mathers oversees the investment advice provided by Beacon Pointe Advisors, LLC's, Waltham, Massachusetts office. His advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics.

Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer, Beacon Pointe Advisors, LLC, is the supervisor of Mark Mathers. She can be reached at 949-718-1600.

# BRUCE W. BURGESS, CWS®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Bruce W. Burgess, CWS®**, Regional Director, b. 1969

*Education:*

BA, Public Administration, Stonehill College

*Business Background:*

Regional Director, Beacon Pointe Advisors, LLC, 2022-Present

Agent, Beacon Pointe Insurance Services, LLC, 2022-Present

VP-Private Client Advisor, JP Morgan Securities LLC, 2020-2022

VP-Private Client Advisor, JP Morgan Chase Bank, N.A., 2020-2022

Agent, Guardian Life Insurance Company of America, 2019-2020

Financial Advisor, Park Avenue Securities LLC, 2019-2020

VP-Financial Consultant, Charles Schwab & Co., Inc., 2016-2019

### Professional Designation

Bruce Burgess holds the following professional designation:

*Certified Wealth Strategist®*

The Certified Wealth Strategist® ("CWS®") is a professional certification issued by the Cannon Financial Institute. It is based on an established set of industry-wide competencies that cover concepts, rules, regulations, laws, and standard operating procedures for professionals working in the affluent and high-net-worth retail market segments.

To earn the credential, each CWS® candidate must have a bachelor's degree (or higher) from an accredited college or university and three years of industry experience or five years of industry experience. In addition, candidates must take the CWS® certification examination and complete Cannon's Certified Wealth Strategist® program of study. Finally, every two years, CWS® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CWS® is available at <https://www.cannonfinancial.com/>.

## ITEM 3 - DISCIPLINARY INFORMATION

Bruce Burgess has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, Bruce Burgess is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, ("BPIS"). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and Bruce Burgess is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Bruce Burgess an incentive to recommend

insurance products based on the compensation received rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Bruce Burgess or BPIS if they decide to follow Mr. Burgess' recommendations.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Bruce Burgess' compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. In addition to his regular compensation from Beacon Pointe Advisors, LLC, Bruce Burgess is eligible to receive compensation for the activities outlined above in **Item 4 – Other Business Activities**.

## **ITEM 6 - SUPERVISION**

Mark Mathers, Partner, Managing Director, is responsible for supervising Bruce Burgess' activities. Mark Mathers monitors the advice provided by Bruce Burgess for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Mark Mathers can be reached by calling 617-614-9895.

# VINCENT P. FRANKS

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Vincent P. Franks, Principal, Senior Wealth Advisor, b. 1977

*Education:*

BA, Accounting and Finance, Dublin City University

*Business Background:*

Principal, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2016-2020

Senior Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2016-2020

Client Advisor, Boston Private Wealth Management, 2014-2016

Client Advisor, Banyan Partners, LLC, 2013-2016

Client Advisor, Silver Bridge Capital Management, LLC, 2010-2013

## ITEM 3 - DISCIPLINARY INFORMATION

Vincent Franks has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Vincent Frank is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

Vincent Franks compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

## ITEM 6 - SUPERVISION

Mark Mathers, Partner, is responsible for supervising Vincent Franks' activities. Mark Mathers monitors the advice provided by Vincent Franks for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Mark Mathers can be reached by calling 617-614-9895.

# **FLYNN T. MCDONNELL**

## **ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Flynn T. McDonnell**, Principal, Senior Wealth Advisor, b. 1958

*Education:*

JD, Law, St. Thomas University

BS, Business Management, Ithaca College

*Business Background:*

Principal, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Investment Adviser Representative, Beacon Pointe Advisors, LLC, 2018-2020

Senior Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2018-2020

Managing Director, FTI Consulting, 2016-2018

Director, Client Development, Crestwood Advisors, LLC, 2015-2016

## **ITEM 3 - DISCIPLINARY INFORMATION**

Flynn McDonnell has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Flynn McDonnell is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

Flynn McDonnell's compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Mark Mathers, Partner, Managing Director, is responsible for supervising Flynn McDonnell's activities. Mark Mathers monitors the advice provided by Flynn McDonnell for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Mark Mathers can be reached by calling 617-614-9895.



# **MEDHA A. NADGIR**

## **ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE**

**Medha A. Nadgir**, Director, Account Reporting, b. 1966

*Education:*

Certificate, Financial Planning, Boston University Center for Professional Education  
BE, Electrical & Electronics, Visvesvaraya National Institute of Technology  
B Tech, Electrical & Electronics, Institute of Technology Calicut

*Business Background:*

Director, Account Reporting, Beacon Pointe Advisors, LLC, 2023-Present  
Principal, Director of Client Service, Beacon Pointe Advisors, LLC, 2020-2023  
Manager, Client Service, Beacon Pointe Wealth Advisors, LLC, 2015-2020

## **ITEM 3 - DISCIPLINARY INFORMATION**

Medha Nadgir has no disciplinary history to disclose.

## **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Medha Nadgir is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Medha Nadgir is eligible to receive compensation for referring new clients to Beacon Pointe.

## **ITEM 6 - SUPERVISION**

Mark Mathers, Partner, Managing Director, is responsible for supervising Medha Nadgir's activities. Mark Mathers monitors the advice provided by Medha Nadgir for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Mark Mathers can be reached by calling 617-614-9895.

# LEERIC DAYE

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**LeEric Daye**, Principal, Senior Wealth Advisor, b. 1978

*Education:*

BS, Finance, Bentley University

*Business Background:*

Principal, Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2020-Present

Agent, Beacon Pointe Insurance Services, LLC, 2023-Present

Wealth Management Advisor, TIAA, 2007-2020

Premier Client Manager, Bank of America, 2005-2007

## ITEM 3 - DISCIPLINARY INFORMATION

LeEric Daye has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe Advisors, LLC, LeEric Daye is a licensed insurance agent for Beacon Pointe Insurance Services, LLC, ("BPIS"). Beacon Pointe Advisors, LLC, is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products client purchase, and LeEric Daye is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives LeEric Daye an incentive to recommend insurance products based on the compensation received rather than on the client's needs. Clients are not obligated to act on any insurance recommendations or place any transactions through LeEric Daye or BPIS if they decide to follow Mr. Daye's recommendations.

## ITEM 5 - ADDITIONAL COMPENSATION

LeEric Daye's compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. In addition to his regular compensation from Beacon Pointe Advisors, LLC, LeEric Daye is eligible to receive compensation for the activities outlined above in **Item 4 – Other Business Activities**.

## ITEM 6 - SUPERVISION

Mark Mathers, Partner, Managing Director, is responsible for supervising LeEric Daye's activities. Mark Mathers monitors the advice provided by LeEric Daye for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Mark Mathers can be reached by calling 617-614-9895.

# ANDREW J. SCANLON, CFP®, CSRIC®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Andrew J. Scanlon, CFP®, CSRIC®**, Wealth Advisor, b. 1987

### *Education:*

BA, Business Administration, Saint Michael's College

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-2024

Internal Consultant, Eaton Vance Distributors, Inc, 2014-2021

## Professional Designation

Andrew Scanlon holds the following professional designations:

### *Certified Financial Planner*

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by the Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® Board- Registered Program or hold an accepted designation, degree, or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>.

### *Chartered SRI Counselor™*

The CSRIC® program is a designation program for financial professionals issued by the College for Financial Planning. It is accredited by the U.S. Department of Education Regional Accredited Agencies, The Higher Learning Commission (HLC).

Individuals with this professional designation have completed study and coursework in sustainable, responsible, and impact investing (SRI). It provides financial advisors and investment professionals with information about the history, definitions, trends, portfolio construction principles, fiduciary responsibilities, and best practices for sustainable investments. The CSRIC® Professional Education Program is a 3-semester credit graduate-level course. Students spend approximately 90-135 hours in

course-related activities to study and prepare adequately for the course examination. In addition, all CSRIC®s are required to complete 16 hours of continuing education credits every two years. More information regarding the CSRIC® is available at <https://www.cffp.edu/>.

### **ITEM 3 - DISCIPLINARY INFORMATION**

Andrew Scanlon has no disciplinary history to disclose.

### **ITEM 4 - OTHER BUSINESS ACTIVITIES**

Andrew Scanlon is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

### **ITEM 5 - ADDITIONAL COMPENSATION**

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Andrew Scanlon is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

### **ITEM 6 - SUPERVISION**

Vincent Franks, Senior Wealth Advisor, is responsible for supervising Andrew Scanlon's activities. Vincent Franks monitors the advice provided by Andrew Scanlon for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Vincent Franks can be reached by calling 617-614-9895.

# MELISA DOBRACA IACONO, CDFFA®

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Melisa Dobraca Iacono, CDFFA®**, Wealth Advisor, b. 1990

### *Education:*

BS, Business Administration (Finance), University of Vermont  
CFP® Coursework, Boston University

### *Business Background:*

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present  
Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-2022  
Associate Wealth Advisor, Beacon Pointe Wealth Advisors, LLC, 2018-2020  
Associate, Windrose Advisors, LLC, 2014-2018  
Client Services Specialist, Amundi Pioneer, 2013-2014

## Professional Designation

Melisa Dobraca Iacono holds the following professional designation:

### *Certified Divorce Financial Analyst*

The designation is issued by the Institute for Divorce Financial Analysts. The candidate is required to develop their theoretical and practical understanding and knowledge of the financial aspects of divorce by completing a comprehensive course of study. The candidate must also have two years' minimum experience in a financial or legal capacity. The candidate must complete a four-part exam and demonstrate the practical application of this knowledge. Continuing education of 30 hours every two years is required to maintain the designation. More information on the CDFFA® can be found at:

<https://www.institutedfa.com/>

## ITEM 3 - DISCIPLINARY INFORMATION

Melisa Dobraca Iacono has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Melisa Dobraca Iacono is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Melisa Dobraca Iacono is eligible to receive variable compensation based on the number of assets/clients she brings into Beacon Pointe.

## ITEM 6 - SUPERVISION

Mark Mathers, Partner, Managing Director, is responsible for supervising Melisa Dobraca Iacono's activities. Mark Mathers monitors the advice provided by Melisa Dobraca Iacono for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Mark Mathers can be reached by calling 617-614-9895.

# MICHELLE (“SHELLY”) L. LEMAY

## ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

**Shelly L. LeMay**, Senior Associate Wealth Advisor, b. 1999

*Education:*

BS, Finance, concentration in Capital Markets, Bentley University

*Business Background:*

Senior Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2024-Present

Associate Wealth Advisor, Beacon Pointe Advisors, LLC, 2021-2024

Intern, Beacon Pointe Advisors, LLC, 2021

Student, Bentley University, 2017-2021

## ITEM 3 - DISCIPLINARY INFORMATION

Shelly LeMay has no disciplinary history to disclose.

## ITEM 4 - OTHER BUSINESS ACTIVITIES

Shelly LeMay is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

## ITEM 5 - ADDITIONAL COMPENSATION

In addition to her regular compensation from Beacon Pointe Advisors, LLC, Shelly LeMay is eligible to receive compensation for referring new clients to Beacon Pointe.

## ITEM 6 - SUPERVISION

Flynn McDonnell, Principal, Senior Wealth Advisor, is responsible for supervising Shelly LeMay’s activities. Flynn McDonnell monitors the advice provided by Shelly LeMay for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Flynn McDonnell can be reached by calling 617-614-9895.