



Form ADV Part 2B Brochure Supplement

May 10, 2023

Individuals covered by this supplement include:

Andrew L. Stern
Carrie S. Gallaway
William K. Joseph
Leigh T. Moglia
Theodore F. Dickson

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This brochure supplement provides information about the above listed individuals that supplements the Beacon Pointe Advisors, LLC brochure. You should have already received a copy of that brochure. Please contact us at 949-718-1600 if you did not receive our brochure or if you have any questions about the contents of this supplement.

Additional information about the above listed individuals is also available on the SEC's website at www.adviserinfo.sec.gov

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ANDREW L. STERN

ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Andrew L. Stern, Partner, Managing Director, b. 1975

Education:

MBA, Finance, New York University – Stern School of Business
BA, English, Emory University

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2023-Present
Managing Partner, YorkBridge Wealth Partners, LLC, 2016-2023
Registered Representative, Purshe Kaplan Sterling Investments, Inc., 2016-2017
Managing Director, Wealth Management, Lebenthal Wealth Advisors, LLC, 2014-2016
Registered Representative, Lebenthal & Co., LLC, 2014-2016
Senior Vice President, Morgan Stanley, 2009-2014

ITEM 3 - DISCIPLINARY INFORMATION

Andrew Stern has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

In addition to his role with Beacon Pointe, in his separate capacity, Andrew Stern sits on the board for The Retreat Inc., a charitable organization located in East Hampton, NY. Mr. Stern is responsible for providing strategic oversight, along with other board members, on the organization's initiatives. Mr. Stern is not compensated and spends less than 5 hours per month in this capacity.

ITEM 5 – ADDITIONAL COMPENSATION

Andrew Stern's compensation comes from his regular salary and ownership of Beacon Pointe Advisors, LLC. Andrew Stern does not receive economic benefits from any person or entity other than Beacon Pointe in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Andrew Stern oversees the investment advice provided from Beacon Pointe Advisors' New York, NY office. His advice is not monitored by any other individual. He is, however, bound by Beacon Pointe's Code of Ethics. Shannon Eusey, Chief Executive Officer and Chief Compliance Officer is the supervisor of Andrew Stern. She can be reached by calling 949-718-1600.

CARRIE S. GALLAWAY, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Carrie S. Gallaway, CFP®, Partner, Managing Director, b. 1976

Education:

BS, Finance and Business, Lehigh University

Business Background:

Partner, Managing Director, Beacon Pointe Advisors, LLC, 2023-Present

Agent, Beacon Pointe Insurance Services, LLC, 2023-Present

Managing Partner, YorkBridge Wealth Partners, LLC, 2016-2023

Registered Representative, Purshe Kaplan Sterling Investments, Inc., 2016-2017

Managing Director, Wealth Management, Lebenthal Wealth Advisors, LLC, 2014-2016

Registered Representative, Lebenthal & Co., LLC, 2014-2016

Senior Vice President, Wealth Management, Morgan Stanley, 2009-2014

Professional Designations

Carrie Gallaway holds the following professional designation:

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>

ITEM 3 – DISCIPLINARY INFORMATION

Carrie Gallaway has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

In addition to her role with Beacon Pointe Advisors, LLC, Carrie Gallaway is a licensed insurance agent for Beacon Pointe Insurance Services, LLC (“BPIS”). Beacon Pointe Advisors, LLC is affiliated with BPIS. BPIS is a licensed insurance agency. BPIS receives commissions on insurance products clients purchase, and Carrie Gallaway is eligible to receive a portion of these commissions. Clients pay separate fees for advisory services and insurance products or services. This practice gives Carrie Gallaway an incentive to recommend insurance products based on the compensation received, rather than on the client’s needs. Clients are not obligated to act on any insurance recommendations or place any transactions through Carrie Gallaway or BPIS if they decide to follow Ms. Gallaway’s recommendations.

ITEM 5 – ADDITIONAL COMPENSATION

Carrie Gallaway’s compensation comes from her regular salary and ownership of Beacon Pointe Advisors, LLC. The additional compensation that Ms. Gallaway is eligible to receive is outlined above in ***Item 4 – Other Business Activities***.

ITEM 6 – SUPERVISION

As a Partner, Managing Director at Beacon Pointe Advisors, LLC, Carrie Gallaway oversees the investment advice provided from Beacon Pointe Advisors’ New York, NY office. Her advice is not monitored by any other individual. She is, however, bound by Beacon Pointe’s Code of Ethics. Shannon Eusey, Chief Executive Officer, and Chief Compliance Officer is the supervisor of Carrie Gallaway. She can be reached by calling 949-718-1600.

WILLIAM K. JOSEPH

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

William K. Joseph, Senior Wealth Advisor, b. 1944

Education:

BA, University of Pennsylvania

Business Background:

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Senior Managing Director, YorkBridge Wealth Partners, LLC, 2016-2023

Managing Director, Wealth Management, Lebenthal Wealth Advisors, LLC, 2015-2016

Registered Representative, Lebethal & Co., LLC, 2015-2016

Director, Wealth Management, , Morgan Stanley, 2009-2015

ITEM 3 - DISCIPLINARY INFORMATION

William Joseph has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

William Joseph is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, William Joseph is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe.

William Joseph does not receive economic benefits from any person or entity other than Beacon Pointe in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Andrew Stern, Managing Director, is responsible for supervising William Joseph's activities. Andrew Stern monitors the advice provided by William Joseph for consistency with client objectives and Beacon Pointe Advisors, LLC's policies. Andrew Stern can be reached by calling (631) 613-1442.

LEIGH T. MOGLIA, CFA®, CFP®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Leigh T. Moglia CFA®, CFP®, Senior Wealth Advisor, b. 1984

Education:

BA, Economics, New York University

Business Background:

Senior Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Senior Vice President, YorkBridge Wealth Partners, LLC, 2016-2023

Registered Representative, Purshe Kaplan Sterling Investments, Inc., 2016-2017

Registered Representative, Lebenthal Wealth Advisors, LLC, 2014-2016

Vice President, Lebenthal Asset Management, LLC, 2014-2014

Relationship Manager, Morgan Stanley Smith Barney, 2009-2014

Professional Designations

Leigh Moglia holds the following professional designation(s):

Chartered Financial Analyst

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program. The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at <https://www.cfainstitute.org/>

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™ and CFP® (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP® Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. The CFP® is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. CFP® certificate holders are bound by CFP® Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

To earn the credential, each CFP® candidate must have a bachelor’s degree (or higher) from an accredited college or university and three years of full-time personal financial planning experience. In addition, candidates must take the CFP® Certification examination and complete a CFP® -board registered program or hold an accepted designation, degree or license. Every two years, CFP® certificate

holders must complete a minimum of 30 hours of continuing education. More information regarding the CFP® is available at <http://www.cfp.net/default.asp>

ITEM 3 - DISCIPLINARY INFORMATION

Leigh Moglia has no disciplinary history to disclose.

ITEM 4 - OTHER BUSINESS ACTIVITIES

Leigh Moglia is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 - ADDITIONAL COMPENSATION

In addition to his regular compensation from Beacon Pointe Advisors, LLC, Leigh Moglia is eligible to receive variable compensation based on the number of assets/clients he brings into Beacon Pointe. Leigh Moglia does not receive economic benefits from any person or entity other than Beacon Pointe in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Carrie Gallaway, Managing Director, is responsible for supervising Leigh Moglia’s activities. Carrie Gallaway monitors the advice provided by Leigh Moglia for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Carrie Gallaway can be reached by calling (212) 574-4070.

THEODORE F. DICKSON, CFA®

ITEM 2 – EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Theodore F. Dickson, CFA®, Wealth Advisor, b. 1978

Education:

BA, Economics, Washington & Lee University

Business Background:

Wealth Advisor, Beacon Pointe Advisors, LLC, 2023-Present

Financial Advisor, YorkBridge Wealth Partners, LLC, 2022-2023

Relationship Manager, YorkBridge Wealth Partners, LLC, 2019-2021

Producer, E.T. Dayton Inc., 2016-2019

Portfolio Manager, Croft-Leominster Inc., 2000-2016

Professional Designations

Theodore Dickson holds the following professional designation:

Chartered Financial Analyst

The Chartered Financial Analyst (“CFA”) designation is sponsored by CFA Institute. To earn a CFA charter, candidates must have four years of qualified investment work experience, become a member of the CFA Institute, pledge to adhere to the CFA Institute Code of Ethics and Standards of Professional Conduct on an annual basis, apply for membership to a local CFA member society, and complete the CFA Program.

The CFA Program is organized into three levels, each culminating in a six-hour exam. The three proctored course exams correspond to three 250-hour self-study levels. Completing the Program takes most candidates between two and five years. More information regarding the CFA is available at

<https://www.cfainstitute.org/>

ITEM 3 – DISCIPLINARY INFORMATION

Theodore Dickson has no disciplinary history to disclose.

ITEM 4 – OTHER BUSINESS ACTIVITIES

Theodore Dickson is not engaged in any investment-related business or occupation other than providing advisory services through Beacon Pointe Advisors, LLC.

ITEM 5 – ADDITIONAL COMPENSATION

Theodore Dickson compensation comes from Beacon Pointe Advisors, LLC. He receives variable compensation that is based on the number of assets/clients he brings into Beacon Pointe. Theodore Dickson does not receive economic benefits from any person or entity other than Beacon Pointe in connection with providing investment advice to clients.

ITEM 6 – SUPERVISION

Andrew Stern, Partner, Managing Director, is responsible for supervising Theodore Dickson’s activities. Andrew Stern monitors the advice provided by Theodore Dickson for consistency with client objectives and Beacon Pointe Advisors, LLC’s policies. Andrew Stern can be reached by calling (631) 613-1442.